

U.S. Microcap Stock Restriction List

784CVR209	EBODF	LZENF	STIE
ABUS	EDSFF	MAAFF	SVFC.OLD
ACAI	EFTB	MBIO	TAOP
ACRB	EKSO	MCET	TBACQ
ACUS	EMMA	MCZAF	TBET
AEGY	ERBB	MKRO	TLDN
AEHI	ESNC	MSMT	TPIY
AMIEQ	EVARF	NBRVF	TSTC
AOXG	FLSR	NBY	UBIQ
ARGW	FMCC	NEWLF	UCHC
ARYX	FNGU	NEWN	UMEW
ASOE	FNMA	NRTLQ	URG
AWSH	FTCY	NSAV	US00752H1023
BCAP	FUTS	NTRP	US817CVR0389
BESNQ	FXPT	ORSX	US921ESC8518
BYOC	GACR	PANC	USDF
CACH	GCHK	PDMI	VELA
CATX	GFRP	PME	VTGDF
CCGM	GLBR	PUDA	WHHT
CCME	GMOLQ	PWAVQ	WINSF
CDSG	GNCIQ	QRMLF	WOAM
CEIN	GPRC	RCKE	WUHN
CGDI	GSPE	RCON	XFLS
CGYG	GTCN	RENO	XXII
CHGI	GTOPQ	RIGH	YEWB.OLD
CHNGQ	HECCQ	RMCP	YRIV
CHNR	HESG	RTBC	YUII
CHSH	HESV	SAPX	ZAAP
CHWG	HGLI	SATCQ	ZKIN
CIWT	HITR	SCLX	ZMDC
CKYS	HKWO	SCLX.CNT	ZRCN
CMCI.OLD	HPJ	SDIR	ZTNO
CMCJ	HRCT	SEED	
CMDA	HSTC	SFBE	
CNBI	HYOR	SGLA	
CNLG	ICON	SGLY	
COYNQ	IICN	SGMA	
CPHI	IMRSQ	SGTI	
CPQQ	JIDG	SHGI	
CREG	JZHG	SHLDQ	
CRRSQ.OLD	KALY	SKBI	
CSNG	KCP	SKTO	
CURXQ	KGJI	SKYI	
DAKPQ	KMGH	SOUG	
DFEL	KWBT	SPDC	
DLIAQ	LAHO	SPIEF	
DLYT	LFIN	SPIR.OLD	
DNN	LIWA	SPNGQ	
DRGG	LOCM	SRNW	
DYNP	LUCC	STBV	

Update: 08/04/2026