

## U.S. Microcap Stock Restriction List

ABAT	CNLG	GTOPQ	ORSX	UMEFW
ABUS	COYNQ	HEBT	PANC	USDF
ACRB	CPHI	HECCQ	PDMI	VELA
ACST	CPQQ	HESG	PMCM	VKIN
ACUS	CREG	HESV	PME	WHHT
AEGY	CRRSQ	HGLI	PUDA	WOAM
AEHI	CSNG	HITR	PWAVQ	WUHN
AIVI	CURXQ	HKWO	QRMLF	YRIV
AMBO	DAKPQ	HPJ	RCKE	YUII
AMIEQ	DFEL	HRCT	RCON	ZAAP
AOXG	DLIAQ	HSTC	RGLS	ZKIN
ARGSQ	DLYT	ICON	RIGH	ZMDC
ARGW	DMAC	IDEX	RMCP	
ARYX	DMPI	IICN	RNVA	
ASOE	DNN	IMRSQ	RTBC	
ASPZ	DRGG	ISR	RVEN	
AVEO	DRRX	JZHG	RXPC	
AWSH	DYNP	KALY	SAPX	
BCAP	EBODF	KGJI	SATCQ	
BESNQ	EDSFF	KMGH	SDIR	
BIPH	EFTB	KMPH	SEED	
BYOC	EKSO	KWBT	SESN	
CACH	EMMA	LAHO	SFBE	
CASI	EPRSQ	LBTG	SGLA	
CBAT	ERBB	LFIN	SGLB	
CCGM	ESNC	LIWA	SGOC	
CCME	ETLT	LLIT	SGTI	
CDSG	EVARF	LOCMQ	SINO	
CGDI	FCEL	LUCC	SKBI	
CGIX	FFFC	LZENF	SKYI	
CGYG	FLSR	MBIO	SPI	
CHGI	FMCC	MCET	SPIR	
CHNGQ	FNMA	MCZAF	SRNW	
CHNR	FTCY	MKRO	STIE	
CHSH	FUTS	MOXC	STRI	
CHSO	FXPT	MRNS	SVFC	
CHWG	GACR	MSMT	TANH	
CIFS	GBSNQ	MYT	TAOP	
CIWT	GCHK	NBRV	TBACQ	
CKYS	GFRP	NBY	TBET	
CLDPQ	GLBR	NEWLF	TPIY	
CMCI	GMO	NEWN	TSTC	
CMCJ	GPRC	NRXGQ	UBIQ	
CMDA	GSPE	NSAV	UCHC	
CNBI	GTCN	ORMP	UEC	