

## U.S. Microcap Stock Restriction List

ABAT	CPHI	HESG	PMCM	TNAV
ACRB	CPQQ	HESV	PME	TPIY
ACST	CREG	HGLI	PUDA	TSTC
ACUS	CRRSQ	HITR	PWAVQ	TYHT
AEGY	CSNG	HKWO	QRMLF	UBIQ
AEHI	CURXQ	HPJ	RCKE	UCHC
AIVI	DAKPQ	HRCT	RCON	UEC
AMBO	DFEL	HSTC	RGLS	UMEFW
AMIEQ	DLIAQ	ICON	RIGH	URG
AOXG	DLYT	IDEX	RMCP	USDF
ARGSQ	DMAC	IICN	RNVA	UUUU
ARGW	DMPI	IMRSQ	RTBC	VEGYF
ARYX	DNN		RVEN	VELA
ASOE	DRGG	ISR	RXPC	VELTF
ASPZ	DRRX	JZHG	SAPX	VERU
AVEO	DYNP	KALY	SATCQ	VKIN
AWSH	EBODF	KGJI	SDIR	VTGDF
BCAP	EDSFF	KMPH	SEED	VUZI
BESNQ	EFTB	KWBT	SESN	WHHT
BIPH	EKSO	LAHO	SFBE	WLTGQ
BYOC	EMMA	LBTG	SGLA	WOAM
CACH	EPRSQ	LFIN	SGLB	WUHN
CBAT	ERBB	LIWA	SGMA	XFLS
CCGM	ESNC	LLIT	SGOC	XXII
CCME	ETLT	LOCMQ	SGTI	YEWB
CDSG	EVARF	LUCC	SINO	YRIV
CGDI	FCEL	LZENF	SKBI	YUII
CGIX	FFFC	MBIO	SKTO	ZAAP
CGYG	FLSR	MCET	SKYI	ZKIN
CHGI		MCZAF	SOQDQ	ZMDC
CHNGQ	FTCY	MKRO	SOUG	ZOOM
CHNR	FUTS	MOXC	SPDC	AVCT
CHSH	FXPT	MRNS	SPI	CASI
CHSO	GACR	MSMT	SPIR	FMCC
CHWG	GBSNQ	MYT	SPNGQ	FNMA
CIFS	GCHK	NBRV	SRNW	GMOL
CIWT	GFRP	NBY	STBV	GNCIQ
CKYS	GLBR	NEWLF	STIE	ING
CLDPQ	GMO	NEWN	STRI	KCP
CMCI	GPRC	NRXGQ	STXS	MAAFF
CMCJ	GSPE	NSAV	SVFC	SE
CMDA	GTCN	ORMP	TANH	WINSF
CNBI	GTOPQ	ORSX	TAOP	
CNLG	HEBT	PANC	TBACQ	
COYNQ	HECCQ	PDMI	TBET	