

Prompt Notification of Internet Trading Activities

Dear Clients,

Thank you for your continuous support towards Guotai Junan Securities (Hong Kong) Limited.

With effect from 27 July 2018, our company shall notify clients promptly after the following internet trading activities have taken place,

- (a) System login
- (b) Password reset
- (c) Trade execution
- (d) Changes to client and account-related information

The channel of notification is different from the one used for Two-Factor Authentication (2FA).

If client choose to opt out from "trade execution" notifications, please submit the form ["Notice to opt-out from online trade execution notification"](#) to us.

Should you have any enquiries, please contact your Relationship Manager or Customer Service Hotline at 40080 95521/ (852) 2509-7524.

Guotai Junan Securities (Hong Kong) Limited
26 July 2018