Guideline on GTJAI Mobile OTP Token & FAQs

For enquiries, please contact: CS Hotline : 40080 95521 / 852 – 2509 7524 HK Shares Dealing Hotline : 852 – 2250 0898 / 852 – 2250 0899 24-hour Dealing Hotline : 852 – 2509 7526



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1. What is Mobile Token?



1.What is GTJAI Mobile OTP?

- Mobile Token is one of the Two-factor authentication ("2FA") method which client can generate one time password ("OTP") by mobile app "GTJAI mobile OTP" randomly. Client can enroll more than one trading account at the same mobile and not affected by telecommunication service provider to obtain OTP.
- Client can login to <u>Online Trading Platform</u>>Customer Service>2FA Enrollment for Mobile Token Registration or contact Customer Service Department for issue Enrollment email.



2. How to Enroll & Register mobile?



Request enrollment email from Customer Service Department:

1. Request enrollment email from Customer Service Department.







1. Request Enrollment email from Customer Service Department. The i) Apps download link, ii) Guideline and iii) QR Code will be included in the enrollment letter.





Download & Install Mobile Token "GTJAI Mobile OTP" App.







Attention:

iOS Users (iPhone & iPad): Available on the App Store

1) Check your iOS system version and make sure it is already updated to iOS11 or above.

Android Users:





1) Suggest update to Android 5.0 or above

2) Please select "APK Download" if you cannot download GTJAI Mobile App from Google Play



Solution 3. Enroll & Register Mobile: Please scan your enrollment QR Code (There is an expiry date showing below the QR Code. If you find the one you have received is already expired, please request a new one.)

Step 2: Enroll and Register mobile		
Option A) Scan QR Code	Option B) Manual Enrollment	
1. Please click 😜 and scan below QR Code.	Manual Enrollment Guide	
Weicome to GTJAI OTP	Except enrolling by scanning the enrollment QR Code, client c Please click "Add account" 2 button for Manual Enrollment	an also use the following information to enroll and register the mobile by the "GTJAI Mobile OTP" manually
	Organization: Securities	
	Account ID: 700004 Activation Code: 152149	
	(Attention: Information can only be used in <u>up to 5 Devic</u>	e(s) and will be expired at <u>2020-09-25 14:00:28)</u>
(Attention: This QR Code can only be used in up to 5 Device(s) and will be expired at 2020-09-25 14:00:28)		



Enroll & Register Mobile



1. Please open GTJAI Mobile OTP and click "Scan" button to scan the Enrollment QR Code.



2. Your Account ID and Activate Code would be auto filled by system, please click

button

く ВАСК	Reset PIN	÷
ORGANIZAT	IONS	
Securities	3	~
ACCOUNT I	D	
700004		
ACTIVATE C	CODE	
152149		
		7

3. Please set up 6-20 digits PIN

K Ad	d Account	
PIN		
PIN	******	Ø
RE-ENTER PIN		22
RE-ENTER PIN	******	C.



Attention:

You need to setup your profile PIN only in your first mobile registration, this PIN will be used by "GTJAI Mobile OTP" to verify your identity in each time you want to generate the OTP.

🕻 ВАСК	Add Account	
PIN		
PIN	******	Ø
RE-ENTER	PIN	
RE-ENTER	PIN ******	Ø



4. Enroll Success. If this is your first registration, it will display "MASTER" under the account number.



5. You can enable Biometric as your authentication for each registered account in your mobile to obtain OTP at any time (It depends on the biometric authentication of your mobile device, such as fingerprint or face detection).





2.1 How to Enroll & Register mobile?





Option 1)

5.1 Enable Fingerprint

Terms and Conditions for the Use of GTJAI Mobile One Time Password Application (the "Service")

Before using the Service, you (the "Client") acknowledge that you have read through these Terms and Conditions in full, and understand and accept to be bound by them. These Terms and Conditions that apply to any account or service you can access using the Electronic Trading Platform offered by Guotai Junan Securities (Hong Kong) Limited, Guotai Junan Futures (Hong Kong) Limited, Guotai Junan FX Limited, and/or their affiliates (where applicable) (individually and collectively "GTJAI"). By using the Service, you shall be deemed to have understood and accepted these Terms and Conditions and be bound by them. Unless explicitly stated otherwise, any new features that augment, enhance or otherwise change the Service shall be subject to these Terms and Conditions. GTJAI has the right at any time and from time to time to modify or discontinue, temporarily or permanently, the Sc (or any part thereof with or without prior notice where GTA considers necessary Disagree Agree

USE Face IO





2.1 How to Enroll & Register mobile?





2.1 How to Enroll & Register mobile?

5.2 Enable Face ID

Option 1)

Terms and Conditions for the Use of GTJAI Mobile One Time Password Application (the "Service")

Before using the Service, you (the "Client") acknowledge that you have read through these Terms and Conditions in full, and understand and accept to be bound by them. These Terms and Conditions that apply to any account or service you can access using the Electronic Trading Platform offered by Guotai Junan Securities (Hong Kong) Limited, Guotai Junan Futures (Hong Kong) Limited, Guotai Junan FX Limited, and/or their affiliates (where applicable) (individually and collectively "GTJAI"). By using the Service, you shall be deemed to have understood and accepted these Terms and Conditions and be bound by them. Unless explicitly stated otherwise. any new features that augment, enhance or otherwise change the Service shall be subject to these Terms and Conditions. GTJAI has the right at any time and from time to time to modify or discontinue, temporarily or permanently, the So (or any part thereof with or without prior notice where GTA considers necessary Disagree Agree

USE Face IU





Enroll and Register by Online Trading:





1. Login Online Trading account

	國泰君安證券(香港)有限公司
1. Enter Account No.	GUOTAT JUNAN SECURITIES (HONG KONG) LIMITED TRADE NEWS HELP Home Welcome to the GTJAS (HK) Online Trading Dear Customer: Due to start of daving time in Europe, market open and close will shift 1 hour earlier. (HKT) 3:00PM - 11:30PM begin from 30 Mar 2020
2. Enter Login Password	Image: Control of a physic and game in Europe, many open and dece with and read open and dece with a read open and
3. Enter Verification Code	Client Login Clien
4. Click "Client Login"	☑ (852) 2509 7526 ☑ (852) 7526 ☑ 國泰君安證券(香港)有限公司



GUOTAI	JUNAN SECURITIES (H	ONG KONG)LIMITED		_	
TRAD	E NEWS HELP				
TRADE	Welcome to the GTJAS (HK) Online	Trading			し LOGOU
Dear Customer: Due to start of	daylight saving time in Europe, ma	arket open and close will shift 1 hour	earlier, (HKT) 3:00PM - 11:30PM begin fi	rom 30 Mar 2020.	
8 Buy Order	Canital/ Stock				
Sell Order	The Palance of Canital				
At-Auction Order - Sell Only	Currency	Balance of Capital	Capital Available	Market Cap.	Total Asset Balance
Sell Odd Lot Order	HKS HKD	0.00	0.00	0.00	0.00
Order	S USD	0.00	0.00	0.00	0.00
⊞ Real-time Search	The Balance of Share				
⊞ IPO	Market Stock Name	Stock Code Bale	Click "Custo	mer Servi	Ce" p. Operations
E Fund Service					
🖽 Bank Transfer					
Margin and Securities	Reminder	-			
Customer Service	Fifteen-minute delayed mark	et price is provided by ET Net Limited [[Disclaimer].		
Records Search					



Click "2FA Enrollment"

Customer Service

Cash Withdrawal

Currency Exchange

Reward Points

Change Password

2FA Enrollment

Change of Client Information

Update of Client Detail

Information of Your Account Executive

Get E-Statement







Attention:

Please ensure browser is allow to pop-up windows before client select Mobile Token as 2FA method.

Internet Explorer 已封鎖來自 *.gtja.com.hk 的快顯。
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此網站的選項(O)

允許一次(A)

Enrollment page with guideline will be opened after you click "Confirm". The i) Apps download link, ii) Guideline and iii) QR Code will be included in the enrollment letter.





• 2. Download & Install Mobile Token "GTJAI Mobile OTP" App.





Mobile OTP



S. Enroll & Register Mobile: Please scan your enrollment QR Code (There is an expiry date showing below the QR Code. If you find the one you have received is already expired, please request a new one.)

Step 2: Enroll and Register mobile		
Option A) Scan QR Code	Option B) Manual Enrollm	nent 😐
1. Please click 📑 and scan below QR Code.	Manual Enrollment Guide	
Wecome to GTJAI OTP	Except enrolling by scanning Please click "Add account"	g the enrollment QR Code, client can also use the following information to enroll and register the mobile by the "GTJAI Mobile OTP" manually.
	Organization:	Securities
	Account ID: Activation Code:	700004 152149
	(Attention: Information	can only be used in <u>up to 5 Device(s)</u> and will be expired at <u>2020-09-25 14:00:28)</u>
(Attention: This QR Code can only be used in up to 5 Device(s) and will be expired at 2020-09-25 14:00:28)		



2.3 How to Enroll & Register more than one trading account?

Option 1. Request enrollment email from Customer 2. Download and Service Department. install Mobile **Token "GTJAI Option 2. Login** Mobile OTP" **Online Trading** Platform>2FA Enrollment> Mobile OTP Select "Mobile Token"





2.3 How to Enroll & Register more than one trading account?

 Client can select Option 1/Option 2 for Mobile Token registration, and enroll more than one trading account at the same mobile.

Step 2: Enroll and Register mobile	
Option A) Scan QR Code	Option B) Manual Enrollment
1. Please click 📑 and scan below QR Code.	DManual Enrollment Guide
Weicome to GTJAN OTP	Except enrolling by scanning the enrollment QR Code, client can also use the following information to enroll and register the mobile by the "GTJAI Mobile OTP" manually. Please click "Add account" 😢 button for Manual Enrollment.
	Organization: Securities
	Account ID: 700002 Activation Code: 913214
	(Attention: Information can only be used in up to 5 Device(s) and will be expired at 2020-09-25 14:00:28)
(Attention: This QR Code can only be used in up to 5 Device(s) and will be expired at 2020-09-25 14:00:28)	



1. Please open GTJAI Mobile OTP and click "Scan" button to scan the Enrollment QR Code.



2.3 How to Enroll & Register more than one trading account?

2. Your Account ID and Activate Code would be auto filled by system, please click 💿 button

< BACK

🕻 ВАСК	Reset PIN	8	< BACK
ORGANIZAT	IONS		PIN
Securities	3	~	PIN
ACCOUNT I	D		RE-ENTER PI
700002			RE-ENTER F
ACTIVATE (CODE		*Please enter 6
913214			
		\rightarrow	

3. Please set up 6-20 digits PIN

< ВАСК	Add Account	
PIN		
PIN	******	ø
RE-ENTER P	IN	
RE-ENTER I	PIN *******	ø
*Please enter 6	5–20 digits PIN from 0 to	o 9.
		\rightarrow



2.3 How to Enroll & Register more than one trading account?

4. Enroll Success. If this is your first registration, it will display "MASTER" under the account number.





1. Option 1: Request enrollment email from Customer Service Department.

Or

Option 2: Login Online Trading Platform> 2FA Enrollment> Mobile Token 2.Download and Install Mobile Token "GTJAI Mobile OTP" App for another mobile.







1. The i) <u>Apps download link</u>, ii) <u>Guideline</u> and iii) <u>QR Code</u> will be included in the enrollment letter.





Download & Install Mobile Token "GTJAI Mobile OTP" App for another mobile.





Mobile OTP



Through Option 1 or Option 2, client can use mobile phone of one registered trading account to register another trading account by scanning the QR Code:

Step 2: Enroll and Register mobile		
Option A) Scan QR Code Post-EnrolmentGade Photod - EnrolmentGade 1. Please click	Option B) Manual Enrolln	nent 😢
● 福奈书/安田府 ■ ◆ Welcome to GTJAI OTP	Except enrolling by scanning Please click "Add account"	g the enrollment QR Code, client can also use the following information to enroll and register the mobile by the "GTJAI Mobile OTP" manually.
	Organization:	Securities
	Account ID:	700002
	Activation Code:	711118
	(Attention: Information	can only be used in <u>up to 5 Device(s)</u> and will be expired at <u>2020-09-25 14:00:28</u>)
(Attention: This QR Code can only be used in <u>up to 5 Device(s)</u> and will be expired at <u>2020-09-25 14:00:28</u>)		



Attention:

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 If client trading account enrolled more than one mobile, all mobile have to share the same PIN, which was setup in the first mobile. The enrollment limit for each account is 5 mobile.



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1. Please open GTJAI Mobile OTP and click "Scan" button to scan the Enrollment QR Code.



2. Your Account ID and Activate Code would be auto filled by system, please click **o** button



3. Enroll Success





4. Sub-Account enroll successfully





2.5 Will OTP expired? What is the format?

OTP is composed of 6 digits and it will be expired in 60 seconds.





3. How to use GTJAI Mobile OTP?

Demonstration - Online Trading & GTJAI Mobile OTP







図 赤 君 安 證 券 (香港)有限 GUOTAL JUNAN SECURITIES (HONG KONG TRADE NEWS GLOBAL INSIGHTS HELP Home Welcome to the GTJAS (HK) Online Trading	改司 SILIMITED	中文版
Dear Customer: SH/SZ-HK Stock Connect and SH/SZ Market Will be closed for 6 de addition, according to HKES, market rehearsals will be held on 3 Oct 2020(Sat) 06: temporary suspended. Sorry for any inconvenienced caused. Please call our 24-hour dealing hotline at (852) 2509 7526 to place your order if you customer service hotline at (852) 2509 7524 for general enquiries. Trade Login Account No. New Client OTP (One-Time-Password) Please Input OTP Forgot Password Verification Code	ys from 1-Oct to 3-Oct HKG & TWN Market will be closed for 2 days on 1-Oct and Odam to 23:59pm. During the period, Internet and Mobile Phone Trading Platforms a are having technical problems with Guotai Junan online trading services. And ple Important Notice: 1. Latest Regulations for Derivative Products Trading Service 2. Implementing Two-Factor Authentication Service (2FA) rification g system, please read the <u>Risk</u> se read <u>GTJAS (HK) Online Tradi</u> word generated by Mobile Token (GTJAI Mobile	System request input 6 digits OTP obtain by Mobile Token (GTJAI Mobile OTP)
Client Login Confirm Cance Confirm Confirm Cance (回天君5 Guotal JUNA Copyright@ 2007-2020 GUOTAI JUNAN SE If you have any problems or sugges	4 (<i>(852) 2250 0898 / 2509 7546</i> B Shares & Overseas Mkts Dealing Tel (<i>(852) 2509 7526</i> (<i>852) 2509 7526</i> CURITIES (HONG KONG) LIMITED CURITIES (HONG KONG) LIMITED, All Rights Reserved. tions to our system, please feel free to <u>contact us</u> .	



1. Click your account number

2. Click "Generate OTP".



3. Input the PIN you have setup in your first mobile registration and click the button. 4. OTP is generated







TRADE NEW GLOBAL LIELD	
Instance NEWS INSIGHTS HELP Home Welcome to the GTJAS (HK) Online Trading Dear Customer: SH/SZ-HK Stock Connect and SH/SZ Market will be closed for 6 days from 1-Oct to 8-Oct. HKG & TWN Market will be closed for 2 days ot addition, according to HKEx, market rehearsals will be held on 3 Oct 2020(Sat) 06:00am to 23:59pm. During the period, Internet and Mobile Phone Trading Please call our 24-hour dealing hotline at (852) 2509 7526 to place your order if you are having technical problems with Guotal Junan online trading servic service hotline at (852) 2509 7526 to general enquiries. Trade Login Important Notice:	. Enter OTP obtained rom GTJAI Mobile OTP
Account No. Account No. New Client Login Password Verification Code Client Login	<u>k</u> rading
Copyright© 2007-2020 GUOTAL JUNAN SECURITIES (HONG KONG) LIMITED. All Rights Reserved. If you have any problems or suggestions to our system, please feel free to <u>contact us</u> .	2. Click "Confirm"



4. FAQs





Q1. What should client do if account was blocked due to OTP issue?

A: Please contact our Customer Service Department by (40080 95521/+852-2509 7524) immediately.

Q2. What should client do if mobile is lost or stolen?

A: Please contact our Customer Service Department by (40080 95521/ +852-2509 7524) immediately for re-register Mobile Token.

Q3. Can client receive OTP in Overseas?

A: Yes.



4. FAQs

Q4. Can client enroll the trading account more than one mobile?

A: Yes. Please download and install Mobile Token "GTJAI Mobile OTP" App for every mobile, and scan enrollment QR Code which issued by Customer Service Department at the same time.

Q5. Can client set different PIN for each mobile of same trading account?

A: No. If client's trading account enrolled more than one mobile, all mobiles have to share the same PIN, which was setup in the first mobile.

Q6. Will Enrollment email expired?

A: Yes. There is an expiry date showing below the QR Code. Please complete Enroll & Register procedure within 24hours from the time of email issued. Otherwise, the QR Code will be expired.





Q7. What should client do if forgot PIN?

A: Please contact our Customer Service Department by (40080 95521/+852-2509 7524) immediately for reset PIN.

Q8. What should client do if authorized person has been updated?

A: Please contact our Customer Service Department by (40080 95521/+852-2509 7524) immediately for reset PIN.

Q9. What should client do if mobile token show "nonsync" after enrollment?

A: Please input the Profile PIN which client set up in the first mobile registration. If forgot PIN, Please contact our Customer Service Department by (40080 95521/ +852-2509 7524) immediately.



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