

Guotai Junan Securities (Hong Kong) Limited
Overseas market and bonds fees schedule (Effective from 12 May 2022)

Market	Cur	Trading Hour (HKT)	Settle. Cycle	Trading Fees	Custodian Fees	Corporate Action Fees
Stock						
Australia	AUD	07:00-13:00 (Summer Time) 08:00-14:00 (Winter Time)	Buy: T+1 Sell: T+3		<ul style="list-style-type: none"> Stock delivery charges: AUD50.00 per stock 	<ul style="list-style-type: none"> Dividend collection fee: AUD25.00 / USD25.00
Canada	CAD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+2		<ul style="list-style-type: none"> Stock delivery charges: CAD30.00 per stock 	<ul style="list-style-type: none"> Dividend tax: 30% of dividend (subject to stock) Dividend collection charges: CAD12.00 per stock
France	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	Handling Fee : (EUR) 0.2% FTT (market) : 0.3%	<ul style="list-style-type: none"> Stock delivery charges: EUR30.00 per stock 	<ul style="list-style-type: none"> Dividend collection fees: EUR10.00 per stock
Germany	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2		<ul style="list-style-type: none"> Stock delivery charges: EUR30.00 per stock 	<ul style="list-style-type: none"> Dividend collection fees: EUR10.00 per stock
Japan	JPY	08:00-10:30/ 11:30-14:00	Buy: T+1 Sell: T+3	<ul style="list-style-type: none"> Handling Fee JPY2,500.00 	<ul style="list-style-type: none"> Stock delivery & receipt charges: JPY5,000.00 per stock JASDEC Fees: JPY0.40 per lot per month 	<ul style="list-style-type: none"> Dividend tax: 15.315% of dividend Dividend Bank charges: JPY2,500.00 Rights exercise: 0.25% of exercise amount Bonus charges: JPY1,800.00 per stock
Korea	KRW (Settlement Cur: USD)	08:00-14:20	Buy: T+1 Sell: T+3	<ul style="list-style-type: none"> Handling Fee KRW 35,000.00 Selling tax: 0.23% of transaction amount (For sell order only) 	-	<ul style="list-style-type: none"> Dividend tax: 22% of dividend (subject to stock) Dividend charges: KRW 15,000.00
Malaysia	MYR (Settlement Cur: HKD)	09:00-12:30/ 14:30-16:45	T+2	<ul style="list-style-type: none"> Stamp Duty: 0.15% Min MYR1.50, Max MYR1,000.00 Clearing Fees: 0.03%, Max MYR1,000.00 	<ul style="list-style-type: none"> Stock delivery charges: MYR20.00 per stock Nominees fees 0.025% per annum, charged monthly Custodial charges MYR0.01 per 1,000 shares, charged quarterly 	<ul style="list-style-type: none"> Dividend collection fees: MYR50.00 per stock Rights exercise charges: MYR40.00 per stock Bonus charges: MYR10.00 per stock

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Singapore	SGD/ USD	09:00-12:00/ 13:00-17:00	Buy: T+1 Sell: T+3	<ul style="list-style-type: none"> Clearing fee: 0.0325% Trading fee: 0.0075% 	<ul style="list-style-type: none"> Stock delivery charges: SGD50.00 per stock 	<ul style="list-style-type: none"> Dividend handling charges: 0.11% of dividend (Min SGD25.00 / USD14.00, Max SGD150.00 / USD85.00) Rights exercise charges: 0.25% of exercise amount (Min SGD25.00 / USD14.00) Bonus charges: SGD25.00 per stock
Taiwan	NTD (Settlement Cur: HKD)	09:00-13:25	Buy: T+1 Sell: T+3	<ul style="list-style-type: none"> Transaction Fee NTD900 per order For sell order only: <ul style="list-style-type: none"> Equity Transaction Tax: 0.30% amount ETF & Warrant Transaction Tax: 0.10% 	<ul style="list-style-type: none"> 0.10% per annum, charged quarterly 	<ul style="list-style-type: none"> Dividend tax: 20% of dividend Dividend collection fees: 0.50% of dividend (min NTD1,800.00)
United Kingdom	GBP/ USD	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	<ul style="list-style-type: none"> PTM Levy : GBP 1.00 / USD 1.20 Stamp Duty 0.50% (Buy orders only) Clearing fee: GBP 0.06 / USD 0.08 Trading fee: 0.0045% (Min GBP 0.11 / USD 0.13) 	<ul style="list-style-type: none"> Stock delivery charges: GBP30.00 / USD30.00 per stock GDR custodian fee (If any) at least USD0.03 per share (record date decided by ADR agencies) 	<ul style="list-style-type: none"> Dividend tax: 10% of dividend Dividend collection fees: GBP 12.00 / USD12.00 per stock
US	USD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+2	For Sell order only: <ul style="list-style-type: none"> TAF (Trading Activity Fee): USD 0.00013 per share (Min USD0.01, Max USD 6.49) and SEC Fee: 0.0000229 x consideration per order (Min USD0.01) 	<ul style="list-style-type: none"> Stock delivery (through DTC) charges: USD30.00 per stock ADR custodian fee (If any) at least USD0.03 per share (record date decided by ADR agencies) 	<ul style="list-style-type: none"> Dividend tax: 30% of dividend Dividend collection fees: USD12.00 per stock Bonus charges: USD2.00 per stock
Vietnam	VND	10:00-12:30/ 14:00-15:30	T+3	For Sell order only <ul style="list-style-type: none"> Stamp Duty: 0.10% 	<ul style="list-style-type: none"> VND0.40 per share per month 	<ul style="list-style-type: none"> Dividend Tax: 5% of dividend

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Bonds						
Bonds		09:30-11:30/ 13:00-17:00	Subject to market arrangement	<ul style="list-style-type: none"> Settlement fee: HKD300.00 or equivalent per transaction 	<ul style="list-style-type: none"> 0.030% p.a. of the bond market value (Collected at every month-end, Min HKD200.00) Transfer by Bought & Sold Note: 0.1% of last market value per side + IRD charge (if any) Convert bonds into shares: HKD500.00 or equivalent per transaction Bonds receive/delivery charges: HKD300.00 or equivalent per transaction Corporate action: HKD600.00 or equivalent per transaction Bonds redemption: HKD300.00 or equivalent per transaction 	

Other Fees:

Spanish Financial Transaction Tax (All Market & Buy orders only) (Only Apply for Spanish Company) - Tax Rate : 0.20% of transaction amount

French Financial Transaction Tax (All Market & Buy orders only) (Only Apply for French Company) - Tax Rate : 0.30% of transaction amount

Proxy Voting & Apply for Attending Shareholders Meeting: USD 50.00 (Plus fees collected by other involved broker(s) where applicable)