

Guotai Junan Securities (Hong Kong) Limited

Overseas market and bonds fees schedule (Effective from 3 Jan 2024)

Market	Cur	Trading Hour (HKT)	Settle. Cycle	Trading Fees	Custodian Fees	Corporate Action Fees
Stock						
Australia	AUD	07:00-13:00 (Summer Time) 08:00-14:00 (Winter Time)	Buy: T+1 Sell: T+3		• Stock delivery charges: AUD230.00 per stock	• Dividend collection fee: AUD25.00 / USD25.00
Canada	CAD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+2		• Stock delivery charges: CAD210.00 per stock	• Dividend tax: 30% of dividend (subject to stock) • Dividend collection fee: CAD12.00 per stock
France	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2		• Stock delivery charges: EUR140.00 per stock	• Dividend collection fee: EUR10.00 per stock
Germany	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2		• Stock delivery charges: EUR140.00 per stock	• Dividend collection fee: EUR10.00 per stock
Switzerland	CHF/USD/ EUR/GBP	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	<ul style="list-style-type: none"> • Exchange fee: 0.015% (Max. CHF150 / USD 150 / EUR150 / GBP150) • Handling fee: CHF1.5/EUR1.5/USD1.5/ GBP1.5 • Clearing fee: CHF 0.38/EUR 0.38/USD 0.38 /GBP 0.38 • Trading report fee: CHF 1 /EUR 1 /USD 1 / GBP 1 		<ul style="list-style-type: none"> • Dividend tax: 35% of dividend • Cash Dividend, Bonus Collection, Scrip Dividend collection fee: CHF15/EUR15/USD15/GBP15 per stock
United Kingdom	GBP/ USD	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	<ul style="list-style-type: none"> • PTM Levy: GBP 1.00 / USD 1.20 • Stamp duty: 0.50% (Buy orders only) • Clearing fee: GBP 0.06 / USD 0.08 • Trading fee: 0.0045% (Min GBP 0.11 / USD 0.13) 	<ul style="list-style-type: none"> • Stock delivery charges: GBP130.00 / USD 150.00 per stock • GDR custodian fee (If any): at least USD0.03 per share (record date decided by GDR agencies) 	<ul style="list-style-type: none"> • Dividend tax: 10% of dividend • Dividend collection fee: GBP 12.00 / USD12.00 per stock

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Stock						
Korea	KRW (Settlement Cur: USD)	08:00-14:20	T+2	<ul style="list-style-type: none"> Handling fee: KRW 35,000.00 Selling tax: 0.18% of transaction amount (Sell order only) 		<ul style="list-style-type: none"> Dividend tax: 22% of dividend (subject to stock) Dividend collection fee: KRW 15,000.00
Malaysia	MYR (Settlement Cur: HKD)	09:00-12:30/ 14:30-16:45	T+2	<ul style="list-style-type: none"> Stamp duty: MYR1.00 for every MYR1,000.00 (or fractional part) of transaction value of securities, Min MYR1.00, Max MYR1,000.00 Clearing fee: 0.03%, Max MYR1,000.00 	<ul style="list-style-type: none"> Nominees fee: 0.025% per annum, charged monthly Custodial charges: MYR0.01 per 1,000 shares, charged quarterly Stock delivery charges: MYR660.00 per stock 	<ul style="list-style-type: none"> Dividend collection fee: MYR50.00 per stock Rights exercise charges: MYR40.00 per stock Bonus collection fee: MYR10.00 per stock
Singapore	SGD/ USD	09:00-12:00/ 13:00-17:00	Buy: T+1 Sell: T+3	<ul style="list-style-type: none"> Clearing fee: 0.0325% Trading fee: 0.0075% 	<ul style="list-style-type: none"> Stock delivery charges: SGD200.00 per stock 	<ul style="list-style-type: none"> Dividend handling charges: 0.11% of dividend (Min SGD25.00 / USD14.00, Max SGD150.00 / USD85.00) Rights exercise charges: 0.25% of exercise amount (Min SGD25.00 / USD14.00) Bonus charges: SGD25.00 per stock
Taiwan	NTD (Settlement Cur: HKD)	09:00-13:25	T+2	<ul style="list-style-type: none"> Transaction fee: NTD900 per order Sell order only: <ul style="list-style-type: none"> Equity transaction tax: 0.30% amount ETF & Warrant transaction tax: 0.10% 	<ul style="list-style-type: none"> Custodial charges: 0.10% per annum, charged quarterly 	<ul style="list-style-type: none"> Dividend tax: 21% of dividend Dividend collection fees: 0.50% of dividend (min NTD1,800.00)
Japan	JPY	08:00-10:30/ 11:30-14:00	T+2	<ul style="list-style-type: none"> Handling fee: JPY2,500.00 	<ul style="list-style-type: none"> JASDEC Fees: JPY0.40 per lot per month Stock delivery & receipt charges: JPY20,000.00 per stock 	<ul style="list-style-type: none"> Dividend tax: 15.315% of dividend Dividend bank charges: JPY2,500.00 Rights exercise: 0.25% of exercise amount Bonus collection fee: JPY1,800.00 per stock

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Stock						
US	USD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+2	Sell order only: <ul style="list-style-type: none"> TAF (Trading activity fee): USD 0.000166 per share (Min USD0.01, Max USD 8.30) SEC Fee: 0.0000080 x consideration per order (Min USD0.01) 	<ul style="list-style-type: none"> Stock delivery charges: USD150.00 per stock DRS transfer fee (in/out): USD150.00 per stock (in addition to the above) ADR custodian fee (If any): at least USD0.03 per share (record date decided by ADR agencies) 	<ul style="list-style-type: none"> Dividend withholding tax: 0-30%* *Subject to change by relevant Country tax policy Dividend collection fees: USD12.00 per stock Bonus collection fee: USD12.00 per stock ADR stock conversion: USD 0.05 per ADR share + Flat Fee USD 1,000 (in addition to the above) SPAC Redemption: USD 150 per stock* SPAC Separation: USD 350 per stock* * Subject to change according to the actual event situation
Vietnam	VND***	10:00-12:30/ 14:00-15:30	T+3	<ul style="list-style-type: none"> Stamp Duty: 0.10% (Sell order only) 	<ul style="list-style-type: none"> Custodian fee: VND0.40 per share per month 	<ul style="list-style-type: none"> Dividend tax: 5% of dividend Dividend collection fee: VND150,000.00 per stock

Market	Cur	Trading Hour (HKT)	Settle. Cycle	Trading Fees	Custodian Fees	Corporate Action Fees
Bonds						
Bonds		09:30-11:30/ 13:00-17:00	Subject to market arrangement	<ul style="list-style-type: none"> Settlement fee: HKD300.00 or equivalent per transaction Trading fee: 0.00565% per transaction (for the bonds fall under the category of "Equity Securities" only) Levy & FRC Levy: 0.0027% & 0.00015% per transaction (for Chapter 37 Debts Securities only) 	<ul style="list-style-type: none"> 0.030% p.a. of the bond market value (Collected at every month-end, Min HKD200.00) Transfer by Bought & Sold Note: 0.1% of last market value per side + IRD charge (if any) Convert bonds into shares: HKD500.00 or equivalent per transaction Bonds receive/delivery charges: HKD300.00 or equivalent per transaction Corporate action: HKD600.00 or equivalent per transaction Bonds redemption: HKD300.00 or equivalent per transaction 	

Other Fees:

Spanish Financial Transaction Tax (All Market & Buy orders only) (Only applicable for Spanish Company) - Tax Rate: 0.20% of transaction amount

French Financial Transaction Tax (All Market & Buy orders only) (Only applicable for French Company) - Tax Rate: 0.30% of transaction amount

Proxy Voting / Apply for Attending Shareholders Meeting: USD 50.00 (Plus fees collected by other involved broker(s) where applicable)

Additional fees may apply. Commissions and fees are subject to change without notice

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***Only USD is acceptable for exchange of VND. A handling fee of HKD 200 will be charged if the USD amount exceeds 10,000.