



Guotai Junan Securities (Hong Kong) Limited
HONG KONG STOCK OPTION TRADING SERVICES CHARGES (Effective from 17 Nov 2023)

HONG KONG STOCK OPTION TRADING SERVICES	
Transaction Levy	Class 1: HKD 3.00 Class 2: HKD 1.00 Class 3: HKD 0.50
EXERCISING FEE	
Stamp Duty	0.10% roundup to dollar
Trading Fee and Transaction Levy	0.00565% and 0.0027%
AFRC Transaction Levy	0.00015%
Clearing Fee	0.01% (Min HKD 3.00 ; Max HKD 300.00) (0.002% is collected by HK Clearing)
Exercising Fee	HKD 2.00 per Lot
NOMINEE SERVICES AND CORPORATE SERVICES	
Dividend Collection Charge (HK Stocks)	0.12%, Min HKD 2.00, Max: HKD 5,000.00 & HKD 50.00 handling charge per stock
Right Issue Entitlement Charge	HKD 50.00 handling charge per stock
Bonus Issue	HKD 50.00 handling charge per stock
Cash/Special/Open/Condition Offer Charge	HKD 50.00 handling charge per stock
Rights/ Warrant exercise	HKD 0.80 per lot and HKD 50.00 handling charge per stock

* The above may be revised without notice.