

HONG KONG MARKET FEES SCHEDULE (Effective from 17 Nov, 2023)

Items	Charges
(A) TRADE-RELATED SERVICES	
Stamp Duty	0.10% roundup to dollar
Trading Fee and Transaction Levy	0.00565% and 0.0027%
AFRC Transaction Levy	0.00015%
Clearing Fee	0.002%, min HK\$2.00 max HK\$100.00
CCASS DVP Settlement Charges	0.002%, min HK\$2.00 max HK\$100.00
Italian Financial Transactions Tax (applicable to shares issued by companies resident in Italy)	Daily net purchased shares x weight average purchasing price x 0.10%
Force Buy-In	0.50% and related charges
(B) SCRIP HANDLING AND SETTLEMENT-RELATED SERVICES	
Physical Scrip Deposit Charge	HK\$5.00 / transfer form
Physical Scrip Withdrawal Charge	HK\$5.00 / board lot, minimum HK\$50.00 and HK \$50.00 / stock
Scrip Fee Chargeable At Book Close Dates - Stocks with dividend/right/bonus received ("SI") Scripless Shares Delivery through CCASS	HK\$1.50 per board lot
Settlement through Investor Participant (ISI)	0.002% of the previous market closing price, minimum HK\$2.00 per stock and additional handling fee HK\$500 per stock
(C) INFORMATION-RELATED SERVICES	
Real-Time Quote System - Monthly Fee	TradeGo Mobile App (mainland): HK\$20 Qian Long (mainland / overseas): HK\$200 / HK\$320 TSCI (mainland / overseas): HK\$240 / HK\$440 AA Stocks (mainland/overseas GuShengTong version, mainland / overseas 2007 version): HK\$220 / HK\$340, HK\$220 / HK\$520
(D) NOMINEE SERVICES AND CORPORATE SERVICES	
Dividend Collection Charge (HK Stocks)	0.12%, Min HK\$2.00, Max: HK\$5,000.00 & HK\$50.00 handling charge per stock
Right Issue Entitlement Charge	HK\$50.00 handling charge per stock
Bonus Issue	HK\$50.00 handling charge per stock
Cash / Special / Open / Condition Offer Charge	HK\$50.00 handling charge per stock & Scrip Fee HK\$1.50 per board lot
Rights / Warrant exercise	HK\$0.8 per lot and HK\$50.00 handling charge per stock
SPAC Shares Redemption / De-SPAC	HK\$500.00 handling charge per stock
(E) FINANCING AND OTHER SERVICES	
Handling Charge for Unclaimed Entitlement	HK\$1,000.00 + HK\$100.00 / announcement
New Share Application	HK\$50.00
New Share Application with financing facility	HK\$100.00
Stop Payment Charge / Returned Cheque Charge	HK\$200.00 / Transaction
Local Fund Transfer	HK\$60.00 / Transaction (Except SCB, HSBC, HSB, BOCHK, CMBHK)
Local Fund Transfer (USD, EUR & CNY)	HK\$60.00 / Transaction
Remittance	HK\$160.00 / Transaction
Share Transfer by Bought & Sold Note	0.1% of previous market value (min equivalent HKD250.00) per side + stamp duty (if any)
Physical Statement	HK\$100.00 per half year, charge on Jan & Jul
Re-issue of non-current month statement of account	Within 3 months : Free Over 3 months : HK\$100.00 per month
Cheque copy retrieval	HK\$ 50.00 per copy (subject to bank for the actual amount)
Standard Chartered Bank CAS account	HK\$100.00 per half year, charge on Jan and Jul
Handling Charge for Assets Verification	HK\$200.00
Margin account financing charge	Fixed Interest Rate: Prime rate plus 1.5% per annum (Apply to new margin accounts opened on or after 3 Oct 2022) Additional Margin Call Interest Rate: 5% per annum
Cash account overdue interest	Prime rate plus 8% per annum
* The above charges were correct at the time of release but remain subject to change, our company reserves the right to introduce charges not included. For your own interest, please seek confirmation of the prevailing charge related to the service you need at anytime.	