

**Notification and Client Consent for the Access Service  
(Only applicable to Individual clients who receive statements by email)**

Dear Clients,

**Re: Notification and Client Consent for the Access Service**

We refer to the Client Agreement for Securities Trading (Version: C202108) ("Client Agreement").

Pursuant to clause 8.6.1 of the Client Agreement ("Access Clause"), we are seeking your consent to provide all account statements in relation to your transactions ("Documents") through access to our electronic trading service ("ETS") where such documents are posted ("Access Service"), in lieu of other means of service.

You will be deemed to provide your consent to the Access Service and the Access Clause by logging into our ETS after the date of this notice. You will also be deemed to acknowledge, understand and agree that:

- (a) appropriate hardware and software, internet access and a specific email address, mobile phone number or other electronic address provided and designated by you for receiving electronic mail (email), short message service (SMS) or other electronic notifications from us are required for using the Access Service;
- (b) Internet, email, SMS and other electronic information services may be subject to certain IT risks and disruption;
- (c) revocation of consent to receive the Documents through the Access Service will be subject to you giving at least one month advance notice to us in a manner specified by us;
- (d) we may charge you reasonable fees for: (i) obtaining a copy of the Documents that is no longer available for access and downloading through the applicable ETS; or (ii) requesting us to provide the Documents, in addition to the request for the Access Service, by other means; and
- (e) in order to avoid missing notifications, you will inform us as soon as practicable upon a change in your designated email address, mobile phone number or other electronic address.

We will notify you of the commencement date for the Access Service by email, SMS and/or messaging in ETS upon receipt of your consent. The commencement date shall be at least one month after the date of our notice of commencement date of the Access Service. You may also request for immediate commencement of the Access Service in our ETS or fill in the Update of Client's Information Form.



Upon commencement of the Access Service, we will no longer provide you any Documents by email or by post. We will notify you as soon as reasonably practicable by way of email to your designated email address once such Documents have been placed through the ETS for your access.

You may revoke your consent to the Access Service by providing at least one month advance notice to us (in person or by mail, facsimile transmission, email or through the ETS). Further information on the methods of revocation will be provided to you in our notice of the commencement date for the Access Service.

Should you have any enquiries, please contact your Relationship Manager or Customer Service Hotline at 40080 95521/ (852) 2509-7524.

Guotai Junan Securities (Hong Kong) Limited  
24 September 2021