

To: Guotai Junan Securities (Hong Kong) Limited
 Guotai Junan Futures (Hong Kong) Limited
 Guotai Junan FX Limited
 27th Floor, Low Block, Grand Millennium Plaza,
 181 Queen's Road Central, Hong Kong
 Tel: (852) 2509 7556

致：國泰君安證券(香港)有限公司
 國泰君安期貨(香港)有限公司
 國泰君安外匯有限公司
 香港中環皇后大道中 181 號新紀元廣場低座 27 樓
 電話: (852) 2509 7556

Dear Sirs 敬啟者,

Registered Bank Account Instruction
登記銀行賬戶指示

I/we hereby request your company to register/delete¹ the following bank account for the purpose of future fund deposits to and withdrawals from my/our account:

本人/吾等 謹此要求 貴公司登記/刪除¹以下銀行賬戶，作本人／吾等賬戶日後存款及提款用途:

Account Code 賬戶號碼		
Account Name 賬戶名稱		
Bank Name 銀行名稱		
Bank Country/ Region 銀行所在地區		
Bank Account Number 銀行賬戶號碼		
SWIFT Code 銀行國際代碼		Currency 貨幣

Notes 注意:

- Account Scope:** This registered bank account under this application will apply to all accounts with GTJA under the same account holder.
適用賬戶: 本申請下登記之銀行賬戶將適用於同一賬戶持有人名下於所有國泰君安賬戶。
- Same-Name Account Requirement:** The bank account(s) to be registered must be held strictly in the exact same name as the account holder on GTJA's record.
同名銀行賬戶要求: 登記之銀行賬戶名稱必須與客戶於國泰君安登記之名稱完全一致。
- Eligible Banks:** Only bank accounts maintained with banks licensed in Hong Kong or supervised by banking regulators in SFC Eligible Jurisdictions² are eligible for registration.
合資格銀行: 只接受於香港持牌銀行或合資格司法管轄區²受銀行業監管機構監管的銀行持有之銀行賬戶作登記。
- Bank Limit:** Each client may register bank accounts with a maximum of three (3) banks. Multiple bank accounts maintained with the same bank will be treated as one (1) registered bank.
銀行數量限制: 每位客戶最多可登記三間銀行之銀行賬戶。於同一間銀行開設之多個銀行賬戶將被視為一間已登記銀行。
- Swift Code:** SWIFT Code is not required for banks licensed in Hong Kong.
銀行國際代碼: 香港持牌銀行機構無需提供銀行國際代碼。

Supporting documents required 需提交之證明文件:

- Documentary proof of the bank account to be registered (e.g. bank statement showing account holder's name and account number). The bank account name must strictly match the client's registered account name on GTJA's record.
登記之銀行賬戶證明文件(例如銀行結單需顯示姓名及銀行賬戶號碼)。銀行賬戶名稱必須與客戶於國泰君安登記之名稱完全一致。

¹ Delete as appropriate 適當刪除

² The list of "Eligible Jurisdictions" can be accessed on the SFC's webpage under "Acceptable Account Opening Approaches" 「合資格司法管轄區」名單可於證監會網頁「可接受的開立帳戶方式」項下查閱 <https://www.sfc.hk/en/Rules-and-standards/Account-opening/List-of-eligible-jurisdictions-for-remote-onboarding-of-overseas-individual-clients>。

I/We understand my/our above request is subject to the acceptance of your Company(ies).

本人/吾等明白上述要求須待貴公司接納方可生效。

Declaration 聲明	
I/We, the under-signed, hereby confirm that:	
1. I/ We declare the information in this bank account registration form and any supporting document(s) provided are true, complete and accurate; 2. I/We confirm that the above registered bank account is opened in my/our own name and I/we hold full beneficial ownership of the funds in the above account; 3. The source of the funds or the purpose of the fund transfers do not involve terrorist financing activities, proceeds of drug trafficking or proceeds of organized and serious crimes; 4. I/We acknowledge and agree that your staff may at any time contact me/us over the phone to confirm the details of my/our request if there are any questions on its validity; and that your company is entitled to reject my/our request without any liabilities if I/we am/are unable to verify the relevant details satisfactorily. 5. This instruction shall remain in full force and effect until revoked, varied, or superseded by formal notice from me/us.	
本人/吾等，以下簽署者，謹此確認：	
1. 本人/吾等聲明本銀行帳戶登記表內之資料及所提供相關證明文件均為真實，完整及正確； 2. 本人/吾等確認上述登記之銀行帳戶乃以本人/吾等名義開設，且本人/吾等擁有上述帳戶內資金之全部實際受益權； 3. 資金來源 / 資金往來目的並不涉及為恐怖分子集資活動、販毒得益或有組織及嚴重罪行的得益； 4. 本人/吾等明白並同意如對指示有任何疑問，貴公司人員可隨時致電聯絡本人/吾等確認相關資料；如無法完成有效核實，貴公司有權拒絕處理該指示且不承擔任何責任。 5. 本指示將持續有效，直至本人/吾等發出正式通知予以撤銷、修改或取代為止。	

Client Signature 客戶簽署	
Signature of Client with Company Chop (if applicable) 客戶簽署及公司印章(如適用)	
Full Name of Client 客戶姓名	
Date 日期	

* * * * *

For GTJA Use Only	Signature Verified and Doc Checked by COB	System Inputted by COB	Reviewed by COB
Signature:			
Printed Name:			
Date:			