

關於對中國內地存量投資者的服務調整通知

2026 年 9 月 14 日

尊敬的客戶：

感謝閣下對本公司的信任與支持。

為落實相關監管要求，本公司將自 **2026 年 9 月 26 日起**，對中國內地存量投資者賬戶的可使用服務作出局部調整。我們已向受影響的客戶發出電子郵件通知，詳情請參閱相關通知。

如有任何查詢，請隨時聯絡您的客戶經理。

此致

國泰君安證券(香港)有限公司
國泰君安期貨（香港）有限公司
國泰君安外匯有限公司

Notice of Service Adjustments for Existing Mainland China Investors

September 14, 2026

Dear Valued Client,

Thank you for your trust and support of our company.

In compliance with applicable regulatory requirements, our Company will partially adjust the services available to existing Mainland China investor accounts, starting from **September 26, 2026**. Affected clients have been notified by email. Please refer to the relevant notice for details.

Should you have any enquiries, please feel free to contact your Relationship Manager.

Yours sincerely,

Guotai Junan Securities (Hong Kong) Limited

Guotai Junan Futures (Hong Kong) Limited

Guotai Junan FX Limited