



**Guotai Junan Futures (Hong Kong) Limited**  
**Standard Commission Rates & Miscellaneous Charges**  
Exclusive of Exchange & Clearing Fees\*

**Standard Commission Rates (per lot per side)<sup>^1</sup>**

| Products                                                                            | Currency | Intraday | One Off Overnight Surcharge | Call-in (Additional Charge) |
|-------------------------------------------------------------------------------------|----------|----------|-----------------------------|-----------------------------|
| <b>H.K. Products-</b>                                                               |          |          |                             |                             |
| HKEX HSI / HHI Futures / HSI Volatility Index Futures / CES120 Futures / Tech Index | HKD      | 90       | 60                          | 0                           |
| HKEX HSI Options / HHI Options / Tech Index Options                                 | HKD      | 90       | 60                          | 0                           |
| Stock Futures                                                                       | HKD      | 90       | 60                          | 0                           |
| HKEX MHI Futures & Options / MCH Futures & Options                                  | HKD      | 60       | 40                          | 0                           |
| HKEX Sector Index Futures                                                           | HKD      | 60       | 40                          | 0                           |
| HKEX Futures in CNY                                                                 | CNY      | 50       | 30                          | 0                           |
| HKEX Futures in USD                                                                 | USD      | 20       | 5                           | 0                           |
| HKEX Futures in JPY                                                                 | JPY      | 2400     | 600                         | 0                           |
| <b>U.S. Products-</b>                                                               |          |          |                             |                             |
| U.S. Futures                                                                        | USD      | 30       | 5                           | 0                           |
| U.S. Mini Futures                                                                   | USD      | 30       | 5                           | 0                           |
| U.S. Micro Futures                                                                  | USD      | 30       | 5                           | 0                           |
| U.S. Futures in JPY                                                                 | JPY      | 3,600    | 600                         | 0                           |
| <b>Europe Products-</b>                                                             |          |          |                             |                             |
| All LME Products (Inclusive of Exchange & Clearing Fees)                            | USD      | 40       | 5                           | 0                           |
| European Futures in GBP                                                             | GBP      | 30       | 5                           | 0                           |
| European Futures in EUR                                                             | EUR      | 30       | 5                           | 0                           |
| European Futures in USD                                                             | USD      | 30       | 5                           | 0                           |
| <b>Asia Pacific Products-</b>                                                       |          |          |                             |                             |
| SGX Futures in USD                                                                  | USD      | 30       | 5                           | 0                           |
| SGX Futures in CNY                                                                  | CNY      | 50       | 20                          | 0                           |
| SGX Futures in SGD                                                                  | SGD      | 30       | 5                           | 0                           |
| BM Futures                                                                          | MYR      | 60       | 10                          | 0                           |
| SGX Nikkei 225 Index Futures                                                        | JPY      | 3,600    | 600                         | 0                           |
| SGX Mini Nikkei 225 Index Futures                                                   | JPY      | 2,200    | 600                         | 0                           |

## Other Services Charges<sup>^2</sup>

| Other Services Charges                                 | Currency                   | Charges          | Remarks                                                                            |
|--------------------------------------------------------|----------------------------|------------------|------------------------------------------------------------------------------------|
| Local Fund Transfer                                    | HKD                        | 60               | (Waive if SCB, HSBC & BOC)                                                         |
| Local Fund Transfer (Foreign Currencies)               | HKD                        | 60               | Pay in other foreign currencies will be based on daily conversion rate, USD at 7.8 |
| Remittance                                             | HKD                        | 160              | Pay in other foreign currencies will be based on daily conversion rate, USD at 7.8 |
| Stop Payment Charge                                    | HKD                        | 200              |                                                                                    |
| Cheque Copy Retrieval                                  | HKD                        | 50 per copy      | Subject to bank for the actual amount                                              |
| Returned Cheque Charge                                 | HKD                        | 200              |                                                                                    |
| Reissue of Monthly Statement                           | HKD                        | 100              | Free for nearest 3 months, charge HKD 100 for more than 3 months                   |
| Provision of copy of customer's information /documents | HKD                        | 50 per page      | HKD 50 per page                                                                    |
| Issue of Equity Verification                           | HKD                        | 200              |                                                                                    |
| Currency Conversion                                    | Base on Currency Converted | Refer to Remarks | Conversion through our reference rate                                              |

<sup>^1</sup> Commission charges are subject to different markets or services and can be negotiable. The charges shown above are for reference only, they are subject to change without prior notice. Please contact your representative or customer service for detail.

<sup>2</sup> The above services charges may be revised without notice. For further enquiries, please contact Futures hotline at : (852) 2509-9277.

\* Please refer to Exchange Fees Table for more details.