



# GTJAI Awarded Highest ESG Rating of AAA by Sino-Securities Index, Recognized for Sustainable Development Excellence

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**[02 Jun 2026, Hong Kong]** Recently, Sino-Securities Index Information Service (Shanghai) Co., Ltd. (“Sino-Securities Index”) officially released its 2026 ESG Rating results. **Guotai Junan International Holdings Limited** (“Guotai Junan International” or “GTJAI”, Stock Code: 1788.HK), a subsidiary of Guotai Haitong Group, has been assigned the highest ESG rating of **AAA by Sino-Securities Index**, with an outstanding overall ESG score of 95.9. GTJAI ranks among the top listed companies across the market and places 2nd out of 64 firms in the Capital Markets sector, outperforming approximately 95% of industry peers. The achievement demonstrates the Company’s leading capabilities and benchmark status in sustainable development, risk management and value creation.

In terms of detailed performance, GTJAI achieved excellent results across all three pillars: Environmental (E): 82.3, Social (S): 84.7, Governance (G): 91.6. In the environmental dimension, the Company actively promotes green finance, strengthens greenhouse gas emissions management, and integrates sustainability into its end-to-end business operations. It supports the issuance of green bonds, sustainability-linked bonds and other innovative products to facilitate the green and low-carbon transition of the real economy. In the social dimension, GTJAI adheres to a people-centric philosophy, prioritizes staff development and rights protection, fosters a diverse, inclusive workplace, and fulfills its corporate social responsibilities. Through professional financial services, the Company supports the real economy, facilitates cross-border investment and financing, and creates tangible social value. In the governance dimension, the Company has established a sound, transparent and efficient governance structure, enhanced information disclosure, compliance and risk management, and upholds business ethics and anti-corruption



practices. It maintains strong performance in anti-corruption, data security and privacy protection, providing solid support for stable and prudent operations.

The latest ESG report also confirms that Guotai Junan International maintains low ESG tail risk with stable risk indicators and no material violations or negative operational incidents, reflecting its mature risk management framework and forward-looking operational strategy. Notably, only about 2% of listed companies achieve a AAA ESG rating across the entire market. Guotai Junan International's inclusion in this top tier marks its world-class ESG management standards and strong recognition from capital markets and professional institutions for its long-term value, resilience and responsibility.

### **About Sino-Securities Index**

Sino-Securities Index is a leading and authoritative ESG rating and index service provider in China. Its ESG rating framework is developed in strict accordance with the International Organization of Securities Commissions (IOSCO) Principles for Financial Benchmarks and has received independent assurance, ensuring high credibility and market recognition. The Sino-Securities ESG Rating adopts a four-tier indicator system covering Environmental, Social and Governance dimensions to comprehensively assess the sustainability performance and risk profile of listed companies. Its ratings are widely used in investment decision-making, risk management and corporate reputation evaluation.

### **About GTJAI**

**Guotai Junan International (Stock Code: 1788.HK), a subsidiary of Guotai Haitong Group**, is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong Kong Stock Exchange through initial public offering. Based in Hong Kong with subsidiaries in Singapore, Vietnam and Macau, GTJAI's business covers major markets around the world, offering high-quality and diversified comprehensive financial services for clients' overseas asset allocation. Core business includes wealth management, institutional investor services, corporate finance services, investment management and other business. GTJAI has been assigned "Baa2" and "BBB+" long term issuer rating from Moody and Standard & Poor respectively, as well as an MSCI ESG "AAA" rating, Wind ESG "A" rating and SynTao Green Finance "A" rating in ESG. Additionally, its S&P Global ESG score leads 81% of its global



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GUOTAI HAITONG

國泰君安國際  
GUOTAI JUNAN INTERNATIONAL

股票代號: 1788.HK

peers. The controlling shareholder, Guotai Haitong Securities (Stock Code: 601211.SH/2611.HK), is the comprehensive financial provider with a long-term, sustainable and overall leading position in the China's capital markets. For more information about GTJAI, please visit <https://www.gtjai.com>.