



GTJAI Honored with the 2025 HKEX “Top Breakthrough Broker” Award

2026/03/24

[24March 2026, Hong Kong] Recently, the winners of the “HKEX Awards 2025” were officially announced. **Guotai Junan International Holdings Limited** (“Guotai Junan International” or the “Company”, stock code: 1788.HK), a subsidiary of Guotai Haitong Group, stood out with the exceptional performance of its wholly owned subsidiary, Guotai Junan Securities (Hong Kong) Limited, which was honored with the “Top Breakthrough Broker” award under the Stock Connect program.



(Mr. Ken Lam, Head of Wealth Management Department of GTJAI, accepted the award on behalf of the Company)

The award aims to recognize the Stock Connect market participants that achieved the largest year-on-year growth in northbound trading turnover in 2025. This recognition not only serves as a testament to Guotai Junan International’s exceptional growth momentum and leading position in the northbound trading segment, but also reflects Hong Kong Exchanges and Clearing Limited’s high appreciation for the Company’s long-standing commitment to and contributions toward the development of the cross-border connectivity mechanism.

Guotai Junan International has consistently deepened its presence in cross-border financial services. Leveraging its industry-leading technological capabilities, stable and efficient trading infrastructure, and highly experienced professional teams, the Company continues to deliver seamless and efficient Stock Connect trading experiences to clients around the world. The Company is deeply integrated into China’s ongoing high-level capital market



opening-up process and remains dedicated to serving as a vital bridge linking China with the global financial markets.

Looking ahead, as the connectivity mechanisms between Mainland China and Hong Kong continue to expand and upgrade, Guotai Junan International will remain committed to the principle of “Finance for the Nation,” fully utilizing its cross-border advantages and the Group’s comprehensive full-value-chain financial service platform. The Company will further enhance trading execution efficiency and integrated service capabilities, adopting a more open posture and delivering more professional solutions to help global investors capture opportunities brought by the high-quality development of China’s capital markets. Guotai Junan International will continue contributing to the deeper integration and coordinated development of the Mainland and Hong Kong capital markets.

About GTJAI

Guotai Junan International (“GTJAI”, Stock Code: 1788.HK), a subsidiary of Guotai Haitong Group, is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong Kong Stock Exchange through initial public offering. Based in Hong Kong with subsidiaries in Singapore, Vietnam and Macau, GTJAI’s business covers major markets around the world, offering high-quality and diversified comprehensive financial services for clients’ overseas asset allocation. Core business includes brokerage, corporate finance, asset management, loans and financing, financial products, which cover three dimensions including individual finance (wealth management), institutional finance (institutional investor services and corporate finance) and investment management. GTJAI has been assigned “Baa2” and “BBB+” long term issuer rating from Moody and Standard & Poor respectively, as well as an MSCI ESG “AAA” rating, Wind ESG “A” rating and SynTao Green Finance “A” rating in ESG. Additionally, its S&P Global ESG score leads 81% of its global peers. The controlling shareholder, Guotai Haitong Securities (Stock Code: 601211.SH; 2611.HK), is the comprehensive financial provider with a long-term, sustainable and overall leading



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position in the China's capital markets. For more information about GTJAI, please visit <https://www.gtjai.com>