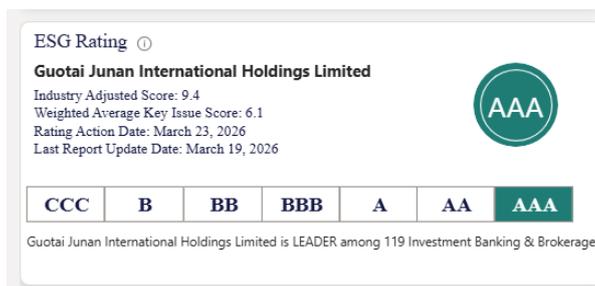




Reaching the Top: GTJAI Achieves AAA Rating in MSCI ESG, Setting a Benchmark for Sustainable Development

2026/03/24

【Hong Kong, March 24, 2026】 MSCI, the international index rating agency, has recently released its annual ESG (Environmental, Social and Governance) rating report. **Guotai Junan International Holdings Limited** (“GTJAI”, stock code: 1788.HK), a company of Guotai Haitong Group, saw its rating upgraded from “A” to the highest “**AAA**” level. The Company demonstrated outstanding performance in areas such as **responsible investment, sustainable financing, human capital development, data security and corporate behaviour**, positioning itself at the forefront of global peers. This significant achievement marks international recognition of the Company’s sustained efforts and excellence in the field of sustainable development.



According to the latest MSCI ESG Ratings assessment, GTJAI demonstrated outstanding performance across multiple key issues, which served as the primary drivers for this rating upgrade.

Responsible Investment: Benefiting from the optimization and adjustment of sustainability indicators, GTJAI achieved a significant increase in its score in this sector. The Company remains committed to sustainable development by fully integrating responsible investment principles into portfolio management and systematically incorporating ESG factors into the investment process. This approach enhances the assessment of potential investments’ ESG performance beyond traditional financial analysis, thereby promoting the green transformation of investee companies.



Sustainable Financing: In the area of sustainable financing, GTJAI actively conducts sustainability due diligence in its investment banking operations. By underwriting and issuing green bonds for corporate clients, the Company continuously advances green finance development while mitigating environmental risks associated with its business activities, demonstrating its responsibility and expertise in green investment and financing.

Human Capital Development: The score in human capital development increased due to enhancements in performance assessment methodologies and continuous improvements in corporate practices. Upholding the philosophy that “talent is the most valuable resource” and adhering to the principle of “people-oriented, collaborative synergy”, the Company regards its employees as its most valuable asset. By enhancing compensation, providing employee benefits, and creating growth opportunities, GTJAI continuously strengthens its talent recruitment and retention strategies, further solidifying its position as an employer of choice and driving long-term sustainable development.

Data Security: The MSCI report noted that GTJAI primarily operates in Hong Kong, a region with moderately stringent privacy protection regulations. The Company maintains industry-leading practices in data security. By establishing a group-wide privacy policy and engaging external independent IT security audits, the Company has effectively built a robust defense against cybersecurity risks.

GTJAI’s upgrade to an AAA rating in this MSCI ESG assessment fully reflects the Company’s long-term commitment and systematic achievements in environmental, social, and governance areas. As a key international platform of Guotai Haitong Group, the Company will continue to uphold the philosophy of sustainable development, deepen its ESG governance practices, actively fulfill its social responsibilities, and create long-term sustainable value for its shareholders, clients, and society.

About GTJAI

Guotai Junan International (Stock Code: 1788.HK), a subsidiary of Guotai Haitong Group, is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong



國泰海通
GUOTAI HAITONG

國泰君安國際
GUOTAI JUNAN INTERNATIONAL

股票代號: 1788.HK

Kong Stock Exchange through initial public offering. Based in Hong Kong with subsidiaries in Singapore, Vietnam and Macau, GTJAI's business covers major markets around the world, offering high-quality and diversified comprehensive financial services for clients' overseas asset allocation. Core business includes wealth management, institutional investor services, corporate finance services, investment management and other business. GTJAI has been assigned "Baa2" and "BBB+" long term issuer rating from Moody and Standard & Poor respectively, as well as an MSCI ESG "AAA" rating, Wind ESG "A" rating and SynTao Green Finance "A" rating in ESG. Additionally, its S&P Global ESG score leads 81% of its global peers. The controlling shareholder, Guotai Haitong Securities (Stock Code: 601211.SH/2611.HK), is the comprehensive financial provider with a long-term, sustainable and overall leading position in the China's capital markets. For more information about GTJAI, please visit <https://www.gtjai.com>.