國泰君安國際
GUOTAI JUNAN INTERNATIONAL

Individual FATCA Self-Certification
個人《海外帳戶稅收合規法案》自行認證

To :	致 :
☐ Guotai Junan Securities (Hong Kong) Limited	☐ 國泰君安證券(香港)有限公司
☐ Guotai Junan Futures (Hong Kong) Limited	☐ 國泰君安期貨(香港)有限公司
☐ Guotai Junan FX Limited	☐ 國泰君安外匯有限公司

Please TICK appropriate box below. 請在以下合適的格內劃上剔號。

		Yes 是	No 否
1.	**Are you a "U.S. Resident"?** 閣下是否"美國居民"? **If yes, please specify TIN** 如是，請提供稅務身份號碼: _____	☐	☐
2.	**Are you a "U.S. Citizen"?** 閣下是否"美國公民"? **If yes, please specify TIN** 如是，請提供稅務身份號碼: _____	☐	☐
3.	**Do you hold a "U.S. Permanent Resident Card (Green Card)"?** 閣下是否持有"美國永久居民身份證(綠卡)"? **If yes, please specify TIN** 如是，請提供稅務身份號碼: _____	☐	☐

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Client Signature 客戶簽署	**Date** 日期
ID Card No 身份證號碼	**Country of Birth** 出生國家
Name of Client 客戶名稱	**Client Code** 客戶編號