

# GTJAI Granted “Financial Institution Awards” by Bloomberg for 6 Consecutive Years

2022/7/20

[20 July 2022, Hong Kong] Guotai Junan International Holdings Limited (“GTJAI”, the “Company” or the “Group”, Stock Code: 1788.HK) announced that the Company has been granted three “Excellence Awards”, namely **Risk Management, Wealth Management Platform (Greater Bay Area) and Environmental, Social and Governance (“ESG”) Sustainability of the Year**, in the “Financial Institution Awards 2022” organized by Bloomberg Businessweek (Chinese Edition), implying the 6th consecutive year of the Company sweeping this contest.



*(the Company has been granted three Excellence Awards in the “Financial Institution Awards 2022”)*

## Risk Management: Being Core Competitiveness, Adhering to Advanced Risk Management Standards and Measures

GTJAI firmly believes that risk management is the core competitiveness of a financial institution. In recent years, by adhering to advanced risk management standards and measures, the Company has established a comprehensive and effective risk management system and has immersed the culture and strategy of risk management in each business line, striving to bring satisfying returns for shareholders and investors. GTJAI now maintains a long-term issuer rating of “BBB+” by S&P and “Baa2” by Moody’s, the international credit rating



agencies, with the outlook being “stable”, staying in a leading position among the Chinese peers in Hong Kong.

### **Wealth Management Platform (Greater Bay Area): Continuously Upgrading Business, Exploring New Opportunities in the Cross-border Financial Market**

Since its establishment, GTJAI Wealth Management Platform has been customer-centric and committed to providing comprehensive financial services and solutions to individual investors, corporations and family offices. With the approval from the China Securities Regulatory Commission for the establishment of Macau subsidiary recently, GTJAI will actively expand the Macau market, strengthen globalization and deployment in Guangdong-Hong Kong-Macau Greater Bay Area, and enhance the cooperation with the parent company, Guotai Junan Securities, to explore new opportunities in the cross-border financial market and embrace the historical opportunities in Guangdong-Hong Kong-Macau Greater Bay Area.



### **ESG Sustainability: Guiding Global Capital to Support Sustainable Economy**

GTJAI has always been actively responding to the managing philosophy of the parent company Guotai Junan Securities – “Finance for the Good” and “Finance for the People”, by guiding global capital allocation to high-quality and sustainable enterprises through businesses such as corporate finance, asset management and private equity, to meet the needs of corporate and institutional clients to transform to low-carbon and sustainable development. In the future, the Company will continue to focus on strengthening its group-wide ESG management and implementation of sustainable development strategies, increase investment in environmental protection and energy conservation, enhance corporate governance, employees’ wellbeing and social contributions, and actively seize and manage climate change-related opportunities and risks by developing green finance to encourage clients as well as the entire society to participate in low-carbon development.



### **About the “Financial Institution Awards” Organized by Bloomberg Businessweek**

The Financial Institution Awards, presented by Bloomberg Businessweek, had appraised enterprises in banking, insurance and investment banking sectors through an authoritative evaluation mechanism, and selected prominent players in aspects of market performance, business growth, development and strategy

during the year, aiming to motivate the sectors with greater efforts to Hong Kong's economic stability and prosperity.

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## About GTJAI

**Guotai Junan International ("GTJAI", Stock Code: 1788.HK)** is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong Kong Stock Exchange through initial public offering. It has also been included in FTSE4Good Index by London Stock Exchange of the United Kingdom. Based in Hong Kong, GTJAI provides diversified integrated financial services. Core business includes seven categories of brokerage, corporate finance, asset management, loans and financing, financial products, market making and investments, which cover three dimensions including individual finance (wealth management), institutional finance (institutional investor services and corporate finance service) and investment management. GTJAI has been assigned "Baa2" and "BBB+" long term issuer rating from Moody and Standard & Poor respectively. The controlling shareholder, **Guotai Junan Securities Company Limited (Stock Code: 601211.SH; 2611.HK)**, is the comprehensive financial provider with a long-term, sustainable and overall leading position in the Chinese securities industry. For more information about GTJAI, please visit <https://www.gtjai.com>