

# Press Release:

## GTJAI Received 16 Awards from Institutional Investor including “Most Honored Company”

[24 June 2022, Hong Kong] **Guotai Junan International Holdings Limited ("GTJAI" or the "Company", Stock Code: 1788.HK)** announced that **Institutional Investor**, a globally renowned financial magazine, has released the **“2022 All-Asia Executive Team”** rankings recently to evaluate the performances of financial disclosure, market value management of listed companies in Asia in the fiscal year 2021. This year, GTJAI **won 16 awards** (including 10 in Asia and 6 in Mainland China) out of more than 1,600 nominated companies and 2,606 executives among 18 industries and was once again awarded the **“Most Honored Company”**, the most valuable award, by leveraging on the outstanding corporate governance, professional and efficient investor relations management, and excellent corporate social responsibility performance. In addition, the Company also ranked among the best in individual awards, namely **"Best IR Program"**, **"Best ESG"**, **"Best IR Team"**, **"Best CEO"**, **"Best CFO"** and **"Best IR Professional"**.

Looking forward, GTJAI will follow the development direction set by the parent company Guotai Junan Securities and strive to become a **“Well Respected, Comprehensively Leading and Internationally Competitive”** financial service provider, while continuously improving corporate governance, maintaining high-quality communication with the capital market, and further innovating in investor relations work to reward investors and shareholders for their long-term support and recognition of the Company.

**Institutional Investor**

In the selection of "2022 All-Asia Executive Team" hosted by Institutional Investor Magazine, GTJAI received:

**16 Awards**

### All-Asia: Banks and Non-Banks Sectors

- Best Investor Relations Team (Sell-side) : 2nd
- Best CEO<sup>Note</sup> (Sell-side) : Dr. Fung Yim (3rd)
- Best IR Professional (Sell-side): Jason Yan (2nd)

### All-Asia: Banks and Non-Banks Sectors (Small & Midcap)

- Most Honored Company (1st)
- Best ESG (Overall): 1st
- Best Investor Relations Program (Overall): 1st
- Best Investor Relations Team (Overall): 1st
- Best CEO<sup>Note</sup> (Overall): Dr. Fung Yim (1st)
- Best CFO<sup>Note</sup> (Overall): Guangjie Li (2nd)
- Best IR Professional (Overall): Jason Yan (1st)

### Mainland China: Banks and Non-Banks Sectors

- Most Honored Company (3rd)
- Best Investor Relations Team (Sell-side): 1st
- Best ESG (Sell-side) : 3rd
- Best CEO<sup>Note</sup> (Sell-side) : Dr. Fung Yim (2nd)
- Best CFO<sup>Note</sup> (Sell-side) : Guangjie Li (2nd)
- Best IR Professional (Sell-side) : Jason Yan (1st)

Note: Award recognitions are based on performances during 2021; Overall includes ratings from both Sell-side and Buy-side.

## “Institutional Investor” Magazine

“Institutional Investor” magazine, established in New York, the USA, in 1967, is **one of the most influential financial magazines in the world**. The magazine annually conducts executive team selection for listed companies in the Asia-Pacific region, and the results are highly recognized by the capital market and regarded as a global investment trendsetter by the industry. The “2022 All-Asia Executive Team” results are determined by votes from over 5,500 portfolio managers, buy-side analysts and sell-side analysts, and are rated on several major areas, including the accessibility of senior executives, execution of corporate strategy, capabilities of investor relations team, business and market knowledge, environment, social and governance (ESG) information, as well as timeliness of disclosure.

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## About GTJAI

**Guotai Junan International (“GTJAI”, Stock Code: 1788.HK)** is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong Kong Stock Exchange through initial public offering. It has also been included in FTSE4Good Index by London Stock Exchange of the United Kingdom. Based in Hong Kong, GTJAI provides diversified integrated financial services. Core business includes seven categories of brokerage, corporate finance, asset management, loans and financing, financial products, market making and investments, which cover three dimensions including individual finance (wealth management), institutional finance (institutional investor services and corporate finance service) and investment management. GTJAI has been assigned “Baa2” and “BBB+” long term issuer rating from Moody and Standard & Poor respectively. The controlling shareholder, **Guotai Junan Securities Company Limited (Stock Code: 601211.SH; 2611.HK)**, is the comprehensive financial provider with a long-term, sustainable and overall leading position in the Chinese securities industry. For more information about GTJAI, please visit <https://www.gtjai.com>