

致：财经版编辑

【请实时发放】

国泰君安国际续获富时 ESG 指数成员资格

收获绿色金融实践成果，展现综合金融服务商实力

2021/08/05

【香港，2021年8月5日】国泰君安国际控股有限公司（“国泰君安国际”或“公司”，股份代号：1788.HK）宣布，伦敦证券交易所旗下全球指数机构富时罗素（FTSE Russell）于6月对「富时社会责任指数系列」（FTSE4Good Index Series）成员资格进行重检。7月在公布结果中，富时罗素重申国泰君安国际符合指数资格，维持公司成分股的地位不变，以肯定公司的良好可持续发展。该指数成员包括苹果、微软、台积电、建设银行、平安保险等全球不同地区的大型上市公司。

富时指数确认国泰君安国际再次入选ESG指数的通知

FTSE4Good Index Series Index Status

FTSE Russell

FTSE4Good Index Series Index Status
We are pleased to confirm that your company remains a constituent of the FTSE4Good Index Series following the June 2021 index review. For further information, please see the technical notice [FTSE4Good Index Series](#).

For your reference, please note that notice is published [here](#) on Tuesday after the first Friday of June and December, after close of US business (22:00 GMT). The list only includes deletions and additions. Therefore, if your company is already a constituent of the FTSE4Good Index Series and your company's name is not in the list then your company continues to be a member of the FTSE4Good Index Series.

FTSE4Good logo
As a constituent you are welcome to use [the FTSE4Good logo](#) to highlight your inclusion in the FTSE4Good Index Series to your key stakeholders. Conditions are set out below.

FTSE Russell ESG Rating Profile
Your company's updated ESG Rating Profile is available on our [online portal](#).

If you have any questions then please do not hesitate to contact us at FTSE4GOOD@FTSERussell.com.

Yours faithfully,
FTSE Russell, ESG team

富时ESG指数3年回报表现一览

FTSE Russell

FTSE4Good Index Series

Launched in 2005, the FTSE4Good Index Series is a series of benchmark and tradable indexes for ESG (Environmental, Social and Governance) investors. The index series is derived from the FTSE Global Equity Index Series and is a tool for investors seeking to invest in companies that demonstrate good sustainability practices. It also supports investors that wish to encourage positive change in corporate behavior and align their portfolio with their values. The FTSE4Good Index Series is applied to the FTSE Developed Index Series and the FTSE Emerging Index Series, which cover over 20 developed countries and 20 emerging markets. FTSE Russell has also partnered with a number of stock exchanges to create partnership indexes with the FTSE4Good Index Series.

Criteria are developed using an extensive market consultation process and are approved by an independent committee of experts. A broad range of stakeholders help shape the criteria, including NGOs, governmental bodies, consultants, academics, the investment community and the corporate sector. To remain consistent with market expectations and developments in ESG practice, the criteria criteria are revised regularly. FTSE Russell engages with companies that no longer meet the standards and those are given a period of grace to try and improve their scores. If there is no improvement then the company is excluded from the index series. The criteria have been designed to help investors identify ESG risks. Companies with the largest risk and exposure have to meet additional sector specific criteria.

3 Year Performance - Total Returns

Performance and volatility - Total Returns

Index	2018	2019	2020	2021
FTSE4Good	51	52	53	54
FTSE Developed	51	52	53	54
FTSE Emerging	51	52	53	54

Year-on-Year Performance - Total Returns

Index	2018	2019	2020	2021
FTSE4Good	1.0	1.0	1.0	1.0
FTSE Developed	1.0	1.0	1.0	1.0
FTSE Emerging	1.0	1.0	1.0	1.0

Features
Index governance is overseen by the independent FTSE Russell ESG Advisory Committee, made up of leading global responsible investment market practitioners and experts on global environmental, social and governance (ESG) principles and criteria used to determine corporate ESG performance.
Criteria
Companies need to meet a variety of environmental, social and governance criteria to meet the inclusion requirements - please see [www.ftserussell.com](#) for details.
Objectives
The index is designed for use in the creation of index tracking funds, derivatives and as performance benchmarks.
Investability
Stocks are selected and weighted to ensure that the index is investable.
Liquidity
Stocks are screened to ensure that the index is liquid.
Availability
The index is calculated based on price and total return methodologies, both real time and end-of-day.
Industry Classification Benchmarks (ICB)
Index constituents are categorized in accordance with the Industry Classification Benchmark (ICB), the global standard for industry sector analysis.

富时社会责任指数系列最近一次检视于今年6月完成，国泰君安国际续为成分股。

近日河南省经历暴雨灾情后，公司向河南省青少年发展基金捐赠，以表示对一线抗洪救灾行动的支持。除积极响应各种公益活动外，国泰君安国际亦优先考虑环境投资及融资项目。今年6月，国泰君安国际担任主要承销商，协助二手消费电子产品交易和服务平台爱回收（NYSE: RERE）登陆纽约证券交易所，造就“中概股 ESG 第一股”。国泰君安国际将继续不遗余力，善用自身资源，推动世界迈向更具可持续性的未来。

媒体与投资者查询：

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关于“富时社会责任指数系列”

「富时社会责任指数系列」旨在量度企业在 ESG 范畴的优秀表现，成分股须符合“富时社会责任指标”（FTSE4Good criteria），包括环境管理、缓解气候变化、维护劳工权利和供应链劳工标准等多个企业责任相关范畴。该指数系列既为广大市场参与者提供权威指标，从而设计和评估责任投资基金及其他产品，亦有助投资者推动企业行为的积极改变，并使投资组合更贴近其自身 ESG 价值观。

关于国泰君安国际

国泰君安国际（股票代码：**1788.HK**）是中国证券公司国际化的先行者和引领者。公司是首家通过首次公开发售方式于香港联合交易所主板上市的中资证券公司，并获纳入伦敦交易所旗下富时社会责任指数。国泰君安国际以香港为业务基地，提供多样化综合金融服务，核心业务包括经纪、企业融资、资产管理、贷款及融资、金融产品、做市及投资等七大类，业务覆盖包括个人金融（财富管理）、机构金融（机构投资者服务与企业融资服务）与投资管理三大维度。目前，国泰君安国际已分别获得穆迪和标准普尔授予“Baa2”及“BBB+”长期发行人评级。公司控股股东国泰君安证券（股票代码**601211.SS/ 2611.HK**）为中国证券行业长期、持续、全面领先的综合金融服务商。更多关于国泰君安国际，信息详见：<http://www.gtjai.com>