

To Business Editor

[For Immediate Release]

# GTJAI Joins the United Nations Global Compact

The first Chinese securities firm in Hong Kong to join the UN Global Compact

6 August 2021

[6 August 2021, Hong Kong] Guotai Junan International Holdings Limited (“Guotai Junan International”, “GTJAI”, the “Company” or “Group”, stock code: 1788.HK) announces that the Company officially joined the United Nations Global Compact (“UN Global Compact”) on July 23, 2021, committing to fully support the Ten Principles in the four areas of human rights, labour, environment and anti-corruption. As the first Chinese securities firm in Hong Kong to join the UN Global Compact, GTJAI will continue to actively fulfill its corporate social responsibilities in an all-round way and implement its ESG sustainable ideology by taking practical actions.



In recent years, GTJAI has transformed from a “broker-oriented” security firm into a large-scale “integrated financial service provider” driven by diversified businesses, and it has been taking more important social responsibilities. In 2019, GTJAI was selected as a constituent stock of the "FTSE4Good Index Series" by the global index provider FTSE Russell of London Stock Exchange, which was a recognition of its sustainable development achievements. In 2020, as the pioneer in the industry, GTJAI established the ESG Committee to support the Board in formulating, overseeing and monitoring execution of ESG-related matters and to enhance the Company’s sustainable practice and development. Through corporate philanthropy, community partnerships and volunteering, GTJAI actively participates in community activities and widely cares about the interests of the community, and has been awarded the "Caring Company" by the Hong Kong Council of Social Service for six consecutive years.

Media and Investor Relations: [enquiry@gtias.com.hk](mailto:enquiry@gtias.com.hk)

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Besides supporting various community services and adhering to the concept of green and energy-saving in daily operations, GTJAI also prioritizes green investment and financing to achieve an inclusive and low-carbon economy together with all parties.

### **About United Nations Global Compact**

The UN Global Compact is the world's largest corporate sustainability initiative with more than 10,000 corporates and other stakeholder members from over 160 countries. It is a call to companies to align their strategies and operations with ten universal principles on human rights, labour, environment and anti-corruption, and take actions that advance social goals. For more information about UNGC, please visit <https://www.unglobalcompact.org/>.

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### **Media and Investor Relations :**

[enquiry@gtjas.com.hk](mailto:enquiry@gtjas.com.hk)

### **About GTJAI**

Guotai Junan International (“GTJAI”, Stock Code: 1788.HK) is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong Kong Stock Exchange through initial public offering. It has also been included in FTSE4Good Index by London Stock Exchange of the United Kingdom. Based in Hong Kong, GTJAI provides diversified integrated financial services. Core business includes seven categories of brokerage, corporate finance, asset management, loans and financing, financial products, market making and investments, which cover three dimensions including individual finance (wealth management), institutional finance (institutional investor services and corporate finance service) and investment management. GTJAI has been assigned “Baa2” and “BBB+” long term issuer rating from Moody and Standard & Poor respectively. The controlling shareholder, Guotai Junan Securities Company Limited (Stock Code: 601211.SS; 2611.HK), is the comprehensive financial provider with a long-term, sustainable and overall leading position in the Chinese securities industry. For more information about GTJAI, please visit <http://www.gtjai.com>

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