



# GTJAI Honored with “2025 Model Chinese Futures Broker” Award from HKEX, Reaffirming Its Professional Excellence

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[27 March 2026, Hong Kong] Guotai Junan International Holdings Limited (“Guotai Junan International” or the “Company”, stock code: 1788.HK), a subsidiary of **Guotai Haitong Group**, is pleased to announce that its wholly-owned subsidiary, Guotai Junan Futures (Hong Kong) Limited (“Guotai Junan Futures (Hong Kong)”), has been honored with the prestigious **“2025 Model Chinese Futures Broker”** award by Hong Kong Exchanges and Clearing Limited (“HKEX”) in recognition of its outstanding performance, professional service capabilities, and market contribution in HKEX futures product trading in 2025. This accolade marks a significant endorsement by a leading authority of the Company's futures business strength and brand influence.

The “Model Chinese Futures Broker” award is established and presented by HKEX, specifically recognizing Chinese-funded institutions engaged in futures and derivatives business in Hong Kong. The evaluation framework is closely aligned with the industry’s high-quality development standards and takes into account multiple core dimensions, including trading scale, product and service capabilities, client service quality, risk management standards, compliance performance, market participation, and contributions to the development of the industry ecosystem. The award aims to honor institutions that demonstrate outstanding performance, sound operations, professional competitiveness, and a strong role in promoting the steady and healthy development of the market. Earning this distinction not only signifies the Company’s business strength being recognized by a core market infrastructure institution, but also highlights its leading service standards among Chinese futures brokers.

As the flagship provider of professional futures services under GTJAI, Guotai Junan Futures (Hong Kong) has long cultivated deep expertise in both the Hong Kong and global futures markets. It serves a wide range of institutional and high-net-worth clients, offering



comprehensive futures and options products across more than 12 major global exchanges. Its product coverage spans multiple asset classes—including equity indices, commodities, interest rates, and foreign exchange—catering to clients’ diverse needs in investment, hedging, and asset allocation. In addition, Guotai Junan Futures (Hong Kong) operates a powerful, user-friendly, and highly stable proprietary trading platform, supported by an around-the-clock professional service system to ensure a smooth and reliable trading experience. With a steadfast commitment to a client-centric approach, the team provides 24-hour trading execution, sales coverage, product advisory, risk analysis, market insights, and technical support for trading systems, delivering one-stop professional services. Backed by extensive market experience, a rigorous risk management framework, and strong execution capabilities, it has earned the trust of a broad client base and built a solid reputation across the market.

Since 2020, GTJAI has completed a strategic internal integration of its wealth management division, incorporating a full suite of investment instruments—including equities, futures, derivative warrants, callable bull/bear contracts (CBBCs), and customized wealth management products—into a unified and comprehensive wealth management framework. This has enabled the Company to build a synergistic service system that seamlessly connects “securities + futures + derivative instruments + wealth management”. Leveraging a robust and diversified product ecosystem, highly customized solutions, and an experienced team of professionals, the Company continues to attract high-quality client segments. Client assets under custody and asset quality have steadily increased in tandem, solidifying the Company’s leading position in integrated financial services within the industry.

The receipt of “2025 Model Chinese Futures Broker” award represents strong recognition from the market of GTJAI’s professional capabilities, service quality, and competitive standing in the futures business. Looking ahead, the Company will continue refining its development strategy, deepening its presence in the futures and derivatives sector, further enriching its product offerings, enhancing its service infrastructure, and strengthening technology empowerment. GTJAI is committed to delivering more comprehensive, premium, and highly customized financial services to its high-net-worth and institutional clients. By doing so, the Company aims to further consolidate the Group’s leading advantages in cross-border financial markets and create long-term value through professionalism.



## About GTJAI

**Guotai Junan International (“GTJAI”, Stock Code: 1788.HK), a subsidiary of Guotai Haitong Group**, is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong Kong Stock Exchange through initial public offering. Based in Hong Kong with subsidiaries in Singapore, Vietnam and Macau, GTJAI’s business covers major markets around the world, offering high-quality and diversified comprehensive financial services for clients' overseas asset allocation. Core business includes brokerage, corporate finance, asset management, loans and financing, financial products, which cover three dimensions including individual finance (wealth management), institutional finance (institutional investor services and corporate finance) and investment management. GTJAI has been assigned “Baa2” and “BBB+” long term issuer rating from Moody and Standard & Poor respectively, as well as an MSCI ESG “A” rating, Wind ESG “A” rating and SynTao Green Finance “A” rating in ESG. Additionally, its S&P Global ESG score leads 81% of its global peers. The controlling shareholder, Guotai Haitong Securities (Stock Code: 601211.SH; 2611.HK), is the comprehensive financial provider with a long-term, sustainable and overall leading position in the China’s capital markets. For more information about GTJAI, please visit <https://www.gtjai.com>