To Business Editor

[For Immediate Release]

## GTJAI Reaffirmed as Constituent of FTSE's ESG Index

Harvesting Fruits of Green Finance Practices,

While Demonstrating Strong Capabilities as Comprehensive Financial Service Provider 5/8/2021

[5 August 2021, Hong Kong] Guotai Junan International Holdings Limited ("Guotai Junan International", "GTJAI", the "Company" or "Group", stock code: 1788.HK) announces that the global index provider FTSE Russell, owned by London Stock Exchange, reviewed the eligibility of constituents of FTSE4Good Index Series this June and then released the result in July. It reiterated GTJAI's eligibility and reaffirmed the Company's constituent status in recognition of its good sustainability practices. Other constituents are large-scale listing enterprises across the world, including Apple, Microsoft, Taiwan Semiconductor Manufacturing, China Construction Bank and Ping An Insurance.



The latest review of FTSE4Good Index Series this June reaffirmed GTJAI as a constituent.



In response to the recent floods in Henan Province, the Company has made donation to Henan Youth Development Foundation (河南省青少年發展基金) as a helping hand to the frontline rescue. Besides supporting various community services, GTJAI also prioritizes green investment and financing. This June, as a major underwriter, GTJAI assisted AiHuiShou (NYSE: RERE), a pre-owned consumer electronics transactions and services platform, to list on The New York Stock Exchange, making it the 'first ESG-related China concept stock'. GTJAI will continue sparing no effort in deploying its resources to mobilize global movement to a more sustainable future.

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**About FTSE4Good Index Series** 

FTSE4Good Index Series is designed to measure the performance of companies demonstrating strong ESG practices. Constituents must meet FTSE4Good criteria in various aspects of corporate social responsibilities, ranging from environmental management, climate change alleviation, labor rights protection to labor standards in supply chains. The Index Series is used by a wide variety of market participants to create and assess responsible investment funds and other products. It also supports investors that wish to encourage positive change in corporate behavior and align their portfolios with their values.

## **About GTJAI**

Guotai Junan International ("GTJAI", Stock Code: 1788.HK) is the market leader and first mover for internationalization of Chinese Securities Company as well as the first Chinese securities broker listed on the Main Board of The Hong Kong Stock Exchange through initial public offering. It has also been included in FTSE4Good Index by London Stock Exchange. Based in Hong Kong, GTJAI provides diversified integrated financial services. Core business includes seven categories of brokerage, corporate finance, asset management, loans and financing, financial products, market making and investments, which cover three dimensions including individual finance (wealth management), institutional finance (institutional investor services and corporate finance service) and investment management. GTJAI has been assigned "Baa2" and "BBB+" long term issuer rating from Moody and Standard & Poor respectively. The controlling shareholder, Guotai Junan Securities (Stock Code: 601211.SS; 2611.HK), is the comprehensive financial provider with a long-term, sustainable and overall leading position in the Chinese securities industry. For more information about GTJAI, please visit http://www.gtjai.com