国泰海通 GUOTAI HAITONG

Guotai Junan Securities (Hong Kong) Limited

Overseas market, Bonds and Digital Assets fees schedule (Effective from 01 Oct 2026)

Market	Cur	Trading Hour (HKT)	Settle. Cycle	Trading Fees	Custodian Fees	Corporate Action Fees
Stock						
Australia	AUD	07:00-13:00 (Summer Time) 08:00-14:00 (Winter Time)	Buy: T+1 Sell: T+3		• Stock delivery charges: AUD230.00 per stock	• Dividend collection fee: AUD25.00 / USD25.00
Canada	CAD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+1		• Stock delivery charges: CAD210.00 per stock	• Dividend tax: 30% of dividend (subject to stock) • Dividend collection fee: CAD12.00 per stock
France	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2		• Stock delivery charges: EUR140.00 per stock	• Dividend collection fee: EUR10.00 per stock
Germany	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2		• Stock delivery charges: EUR140.00 per stock	• Dividend collection fee: EUR10.00 per stock
Switzer-land	CHF/USD/ EUR/GBP	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	• Exchange fee: 0.015% (Max. CHF150 / USD 150 / EUR150 / GBP150) • Handling fee: CHF1.5/EUR1.5/USD1.5/ GBP1.5 • Clearing fee: CHF 0.38/EUR 0.38/USD 0.38 /GBP 0.38 • Trading report fee: CHF 1 /EUR 1 /USD 1 / GBP 1		• Dividend tax: 35% of dividend • Cash Dividend, Bonus Collection, Scrip Dividend collection fee: CHF15/EUR15/USD15/GBP15 per stock
United Kingdom	GBP/ USD/ EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	• PTM Levy: GBP 1.50 / USD 1.88 / EUR 1.80 • Stamp duty: 0.50% (Buy orders only) • Clearing fee: GBP 0.06 / USD 0.08 / EUR 0.06 • Trading fee: 0.0045% (Min GBP 0.11 / USD 0.13 / EUR 0.11)	• Stock delivery charges: GBP130.00 / USD 150.00 per stock / EUR130.00 • GDR custodian fee (If any): at least USD0.03 per share (record date decided by GDR agencies)	• Dividend tax: 10% of dividend • Dividend collection fee: GBP 12.00 / USD12.00 / EUR 12.00 per stock

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Stock						
Korea	KRW (Settlement Cur: USD)	08:00-14:20	T+2	• Handling fee#: KRW 35,000.00 • Selling tax: 0.20% of transaction amount (Sell order only)		• Dividend tax: 22% of dividend (subject to stock) • Dividend collection fee: KRW 15,000.00
Malaysia	MYR (Settlement Cur: HKD)	09:00-12:30/ 14:30-16:45	T+2	• Stamp duty: MYR1.00 for every MYR1,000.00 (or fractional part) of transaction value of securities, Min MYR1.00, Max MYR1,000.00 • Clearing fee: 0.03%, Max MYR1,000.00	• Nominees fee: 0.025% per annum, charged monthly • Custodial charges: MYR0.01 per 1,000 shares, charged quarterly • Stock delivery charges: MYR660.00 per stock	• Dividend collection fee: MYR50.00 per stock • Rights exercise charges: MYR40.00 per stock • Bonus collection fee: MYR10.00 per stock
Singapore	SGD/ USD	09:00-12:00/ 13:00-17:00	Buy: T+1 Sell: T+3	• Clearing fee: 0.0325% • Trading fee: 0.0075%	• Stock delivery charges: SGD200.00 per stock	• Dividend handling charges: 0.11% of dividend (Min SGD25.00 / USD14.00, Max SGD150.00 / USD85.00) • Rights exercise charges: 0.25% of exercise amount (Min SGD25.00 / USD14.00) • Bonus charges: SGD25.00 per stock
Taiwan	NTD (Settlement Cur: HKD)	09:00-13:25	T+2	• Transaction fee: NTD900 per order Sell order only: • Equity transaction tax: 0.30% amount • ETF & Warrant transaction tax: 0.10%	• Custodial charges: 0.10% per annum, charged quarterly	• Dividend tax: 21% of dividend • Dividend collection fees: 0.50% of dividend (min NTD1,800.00)
Japan	JPY	08:00-10:30/ 11:30-14:30	T+2	• Handling fee#: JPY2,500.00	• JASDEC Fees: JPY0.40 per lot per month • Stock delivery & receipt charges: JPY20,000.00 per stock	• Dividend tax: 15.315% of dividend • Dividend bank charges: JPY2,500.00 • Rights exercise: 0.25% of exercise amount • Bonus collection fee: JPY1,800.00 per stock • Tender offer: JPY20,000.00 per stock

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Stock						
US	USD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+1	• Audit Trail Fees: USD 0.000003 per share Sell order only: • TAF (Trading activity fee): USD 0.00 per share • SEC Fee: 0.0000206 x consideration per order	• Stock delivery charges: USD150.00 per stock • DRS transfer fee (in/out): USD150.00 per stock (in addition to the above) • ADR custodian fee (If any): at least USD0.03 per share (record date decided by ADR agencies) • Custody fee for holdings of un-tradable microcap stocks: USD50.00 per month	• Dividend withholding tax: 0-30%* *Subject to change by relevant Country tax policy • Div collection fees: USD12.00 per stock • Bonus collection fee: USD12.00 per stock • ADR stock conversion: USD 0.05 per ADR share + Flat Fee USD 1,000 (in addition to the above) • SPAC Redemption: USD 150 per stock* • SPAC Separation: USD 350 per stock* * Subject to change according to the actual event situation
Vietnam	VND**	10:00-12:30/ 14:00-15:30	T+3	• Stamp Duty: 0.10% (Sell order only)	• Custodian fee: VND0.40 per share per month	• Dividend tax: 5% of dividend • Dividend collection fee: VND150,000.00 per stock

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Bonds						
Bonds		09:30-11:30/ 13:00-17:00	Subject to market arrangement	• Trading fee: 0.00565% per transaction (for the bonds fall under the category of "Equity Securities" only) • Levy & FRC Levy: 0.0027% & 0.00015% per transaction (for Chapter 37 Debts Securities only)	• 0.030% p.a. of the bond market value (Collected at every month-end, Min HKD200.00) • Transfer by Bought & Sold Note: 0.1% of last market value per side + IRD charge (if any) • Bonds delivery charges: HKD300.00 per transaction • Bonds receive/delivery (between Bonds & Bond Direct market only) charges: HKD600.00 per transaction	
Bond Direct		16:00-04:00 (Summer Time) 17:00-05:00 (Winter Time)	Subject to market arrangement	• FINRA Trading Activity Fee: USD 0.00105*Quantity Sold (Max USD1.05 per trade, for sell order only)	• Transfer by Bought & Sold Note: 0.1% of last market value per side + IRD charge (if any) • Bonds delivery charges: HKD300.00 per transaction • Bonds receive/delivery (between Bonds & Bond Direct market only) charges: HKD600.00 per transaction	
Digital Assets						
Virtual Assets	USD/HKD	24 hours	T+0		• 0.05% p.a. of the Virtual Assets Total Market Value on that day (This fee accrues daily and is collected in USD at every month end, Min USD0.01)	

Other Fees:

- Spanish Financial Transaction Tax (All Market & Buy orders only) (Only applicable for Spanish Company) - Tax Rate: 0.20% of transaction amount
- French Financial Transaction Tax (All Market & Buy orders only) (Only applicable for French Company) - Tax Rate: 0.40% of transaction amount
- Proxy Voting / Apply for Attending Shareholders Meeting: USD 50.00 (Plus fees collected by other involved broker(s) where applicable)
- Additional fees may apply. Commissions and fees are subject to change without notice
- ** Only USD is acceptable for exchange of VND. A handling fee of HKD 200 will be charged if the USD amount exceeds 10,000.
- # Not applicable to Delivery versus Payment (DvP)